

A modern interior space with a blue-tinted overlay. The background shows a curved wooden ceiling with glowing circular light fixtures, a white curved bench, and a wall with a large screen displaying the DAIKIN logo. The floor is light-colored and reflective.

# DECARBONIZATION OF HVAC SYSTEMS

# Agenda

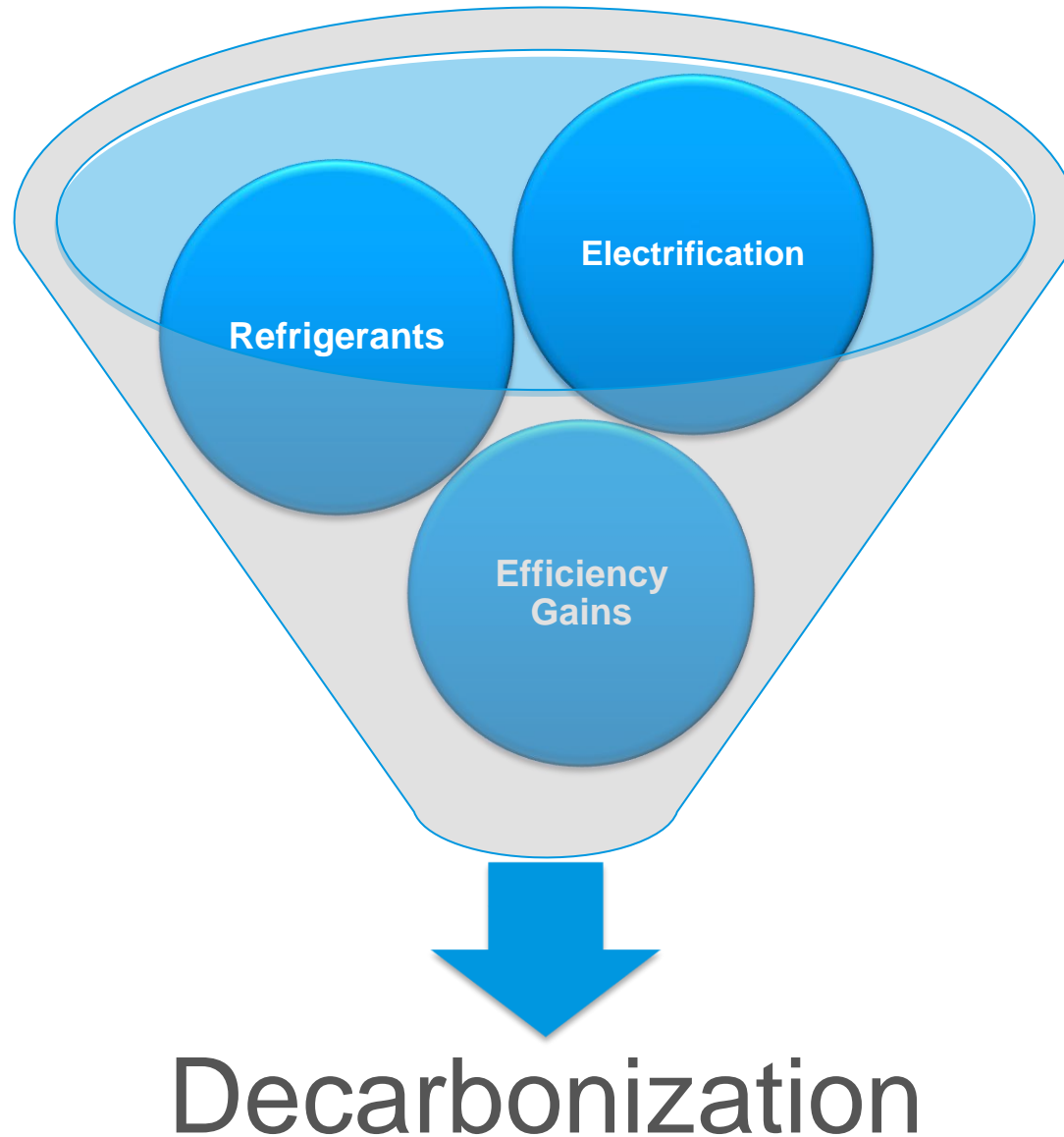
- **Electrification & Decarbonization in Applied HVAC Systems**
  - Cold Climate HPs
- **Legislation & Incentives**
- **How Refrigerants Tie In**
- **How Will This Impact HVAC System Designs**
- **Technology Enablers**



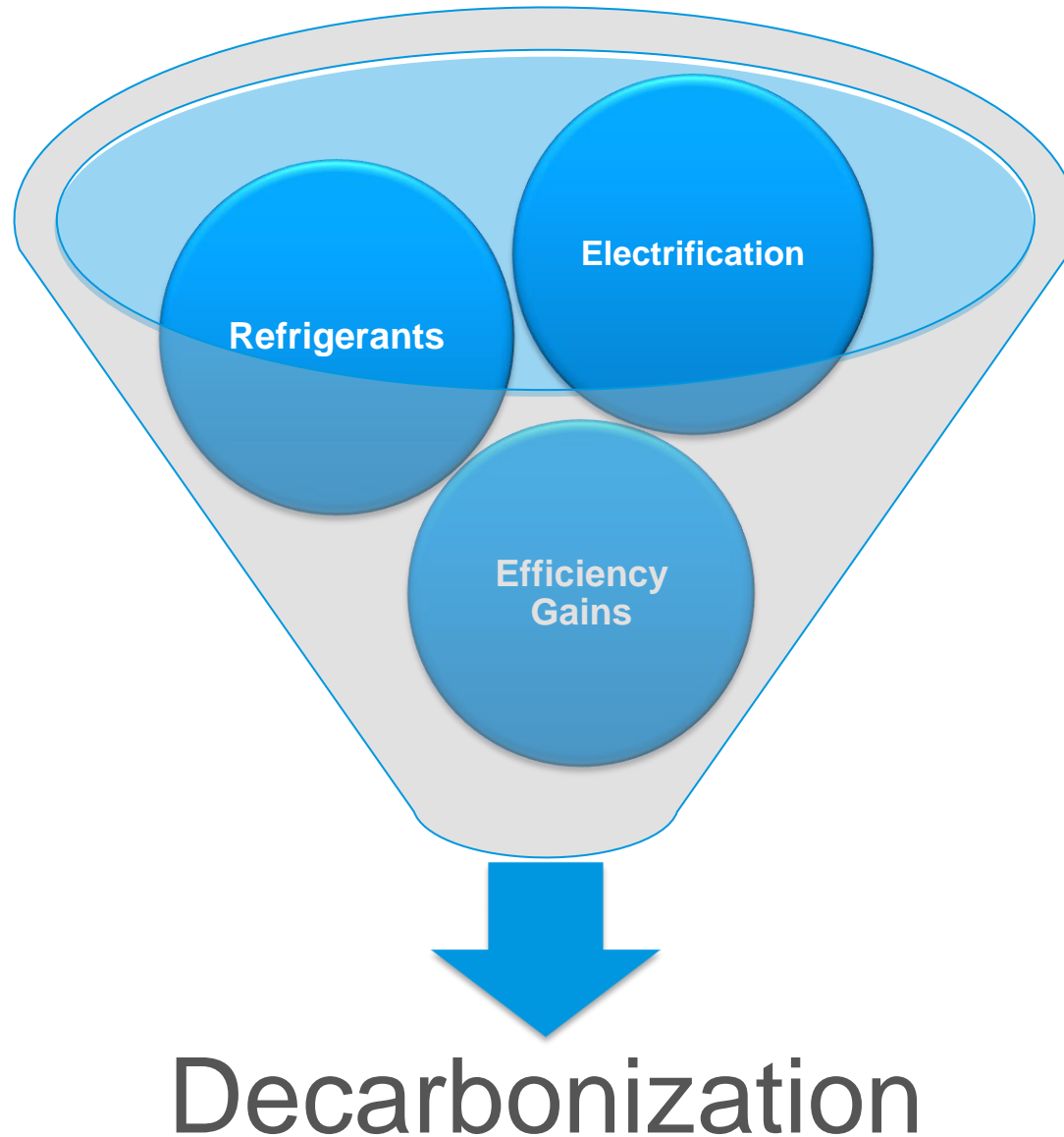
Carbon Footprint  
Refrigerants  
Electrification  
Solar  
Climate Change  
Global  
Anger  
Grid Change  
Decarbonization  
Wind  
Famine  
SNAP  
Coral Bleaching  
Drought  
Disaster  
Effect  
GWP  
Greenhouse  
CO2



# Decarbonization in HVAC

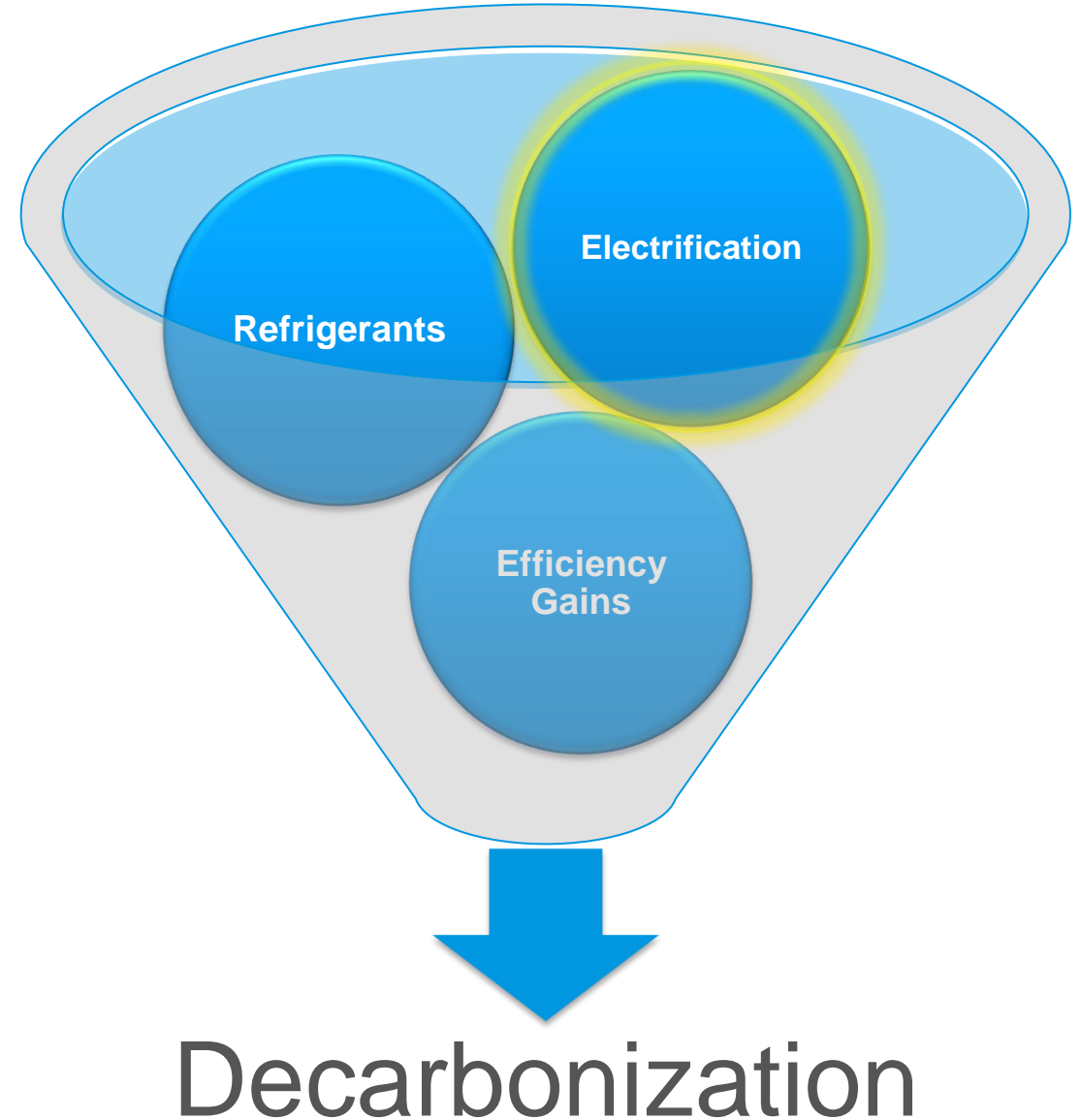


# Decarbonization in HVAC



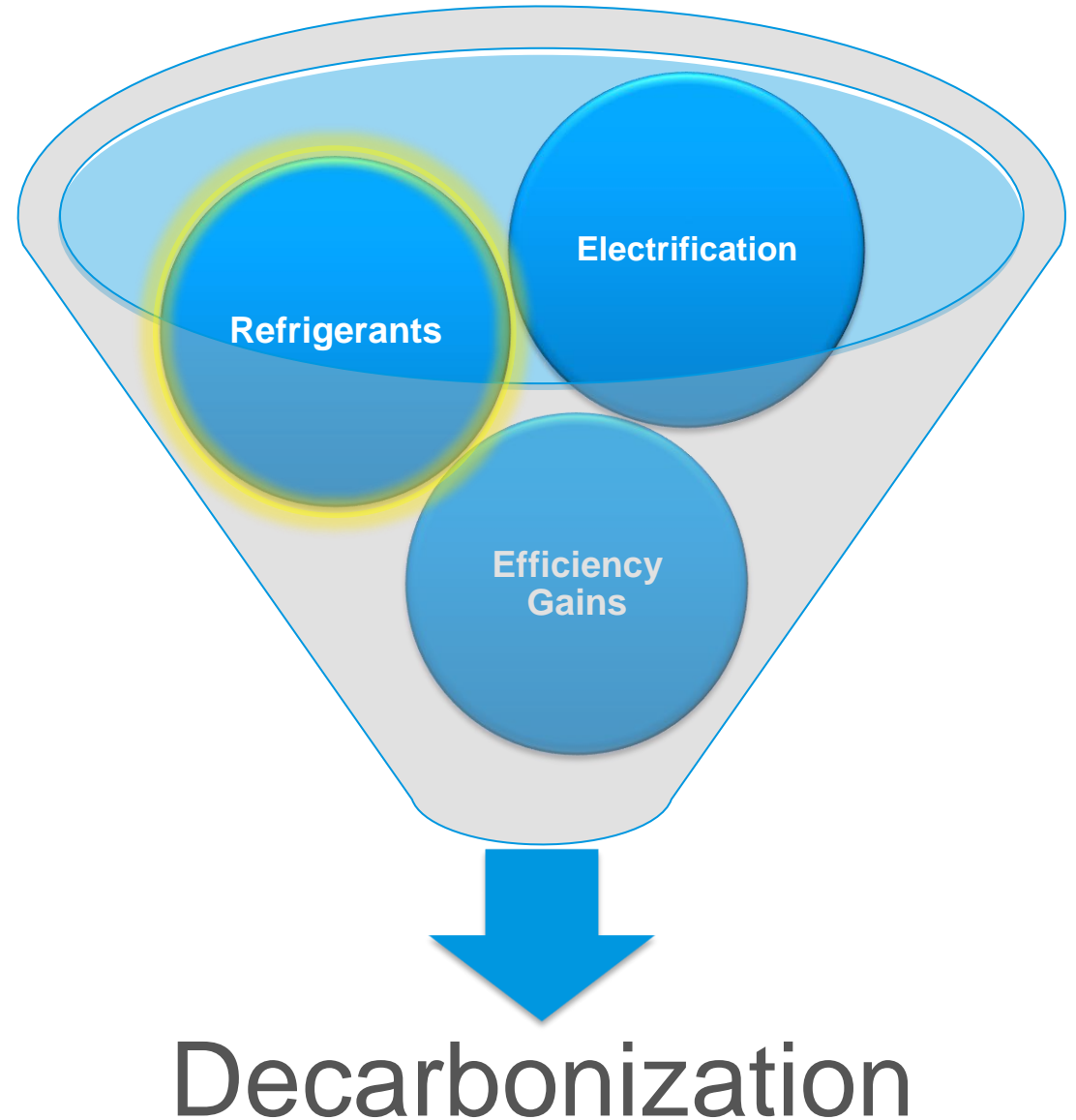
## Electrification

- Convert fossil fuel equipment/processes to electric
- Dependent on clean power grid



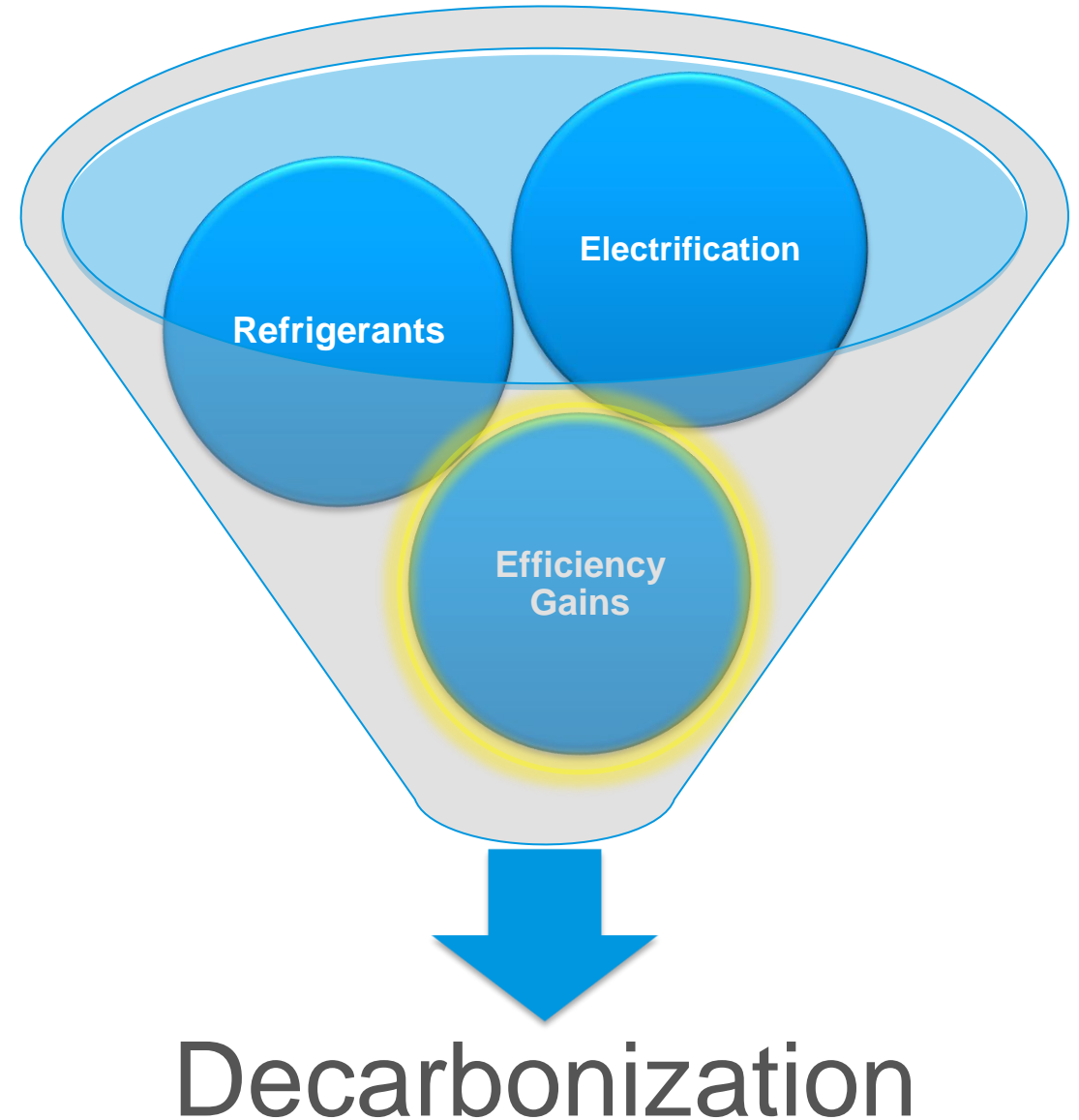
## Refrigerants

- Can have much higher global warming potential per pound than CO<sub>2</sub>
- Direct effect: greenhouse effect of the gas
- Indirect effect: change in efficiency changes power usage over equipment lifecycle
- Life Cycle Climate Performance (LCCP): combines direct and indirect effect into one metric



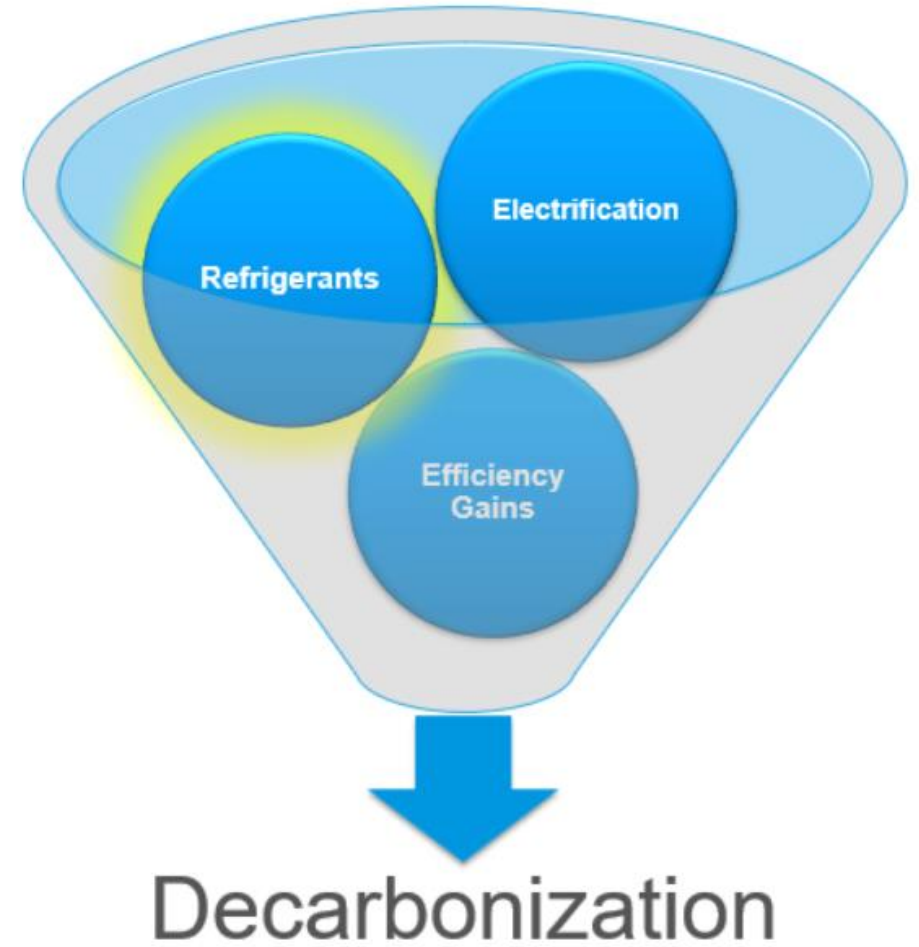
## Efficiency improvements

- Greatest impact with dirty grid
- Reduced (but not zero) impact as grid converts to renewable (e.g. embedded carbon in power gen equipment)

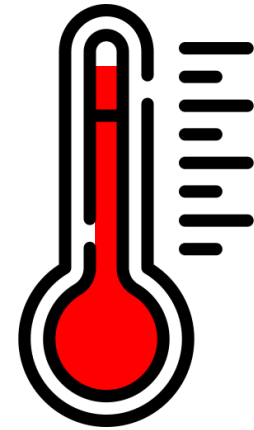
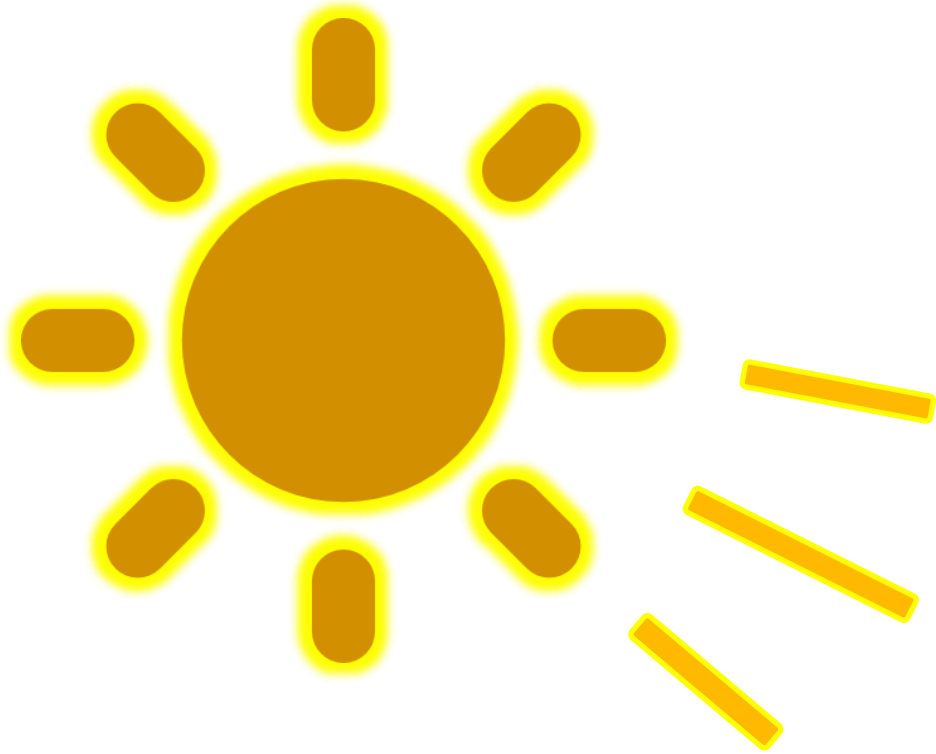




# REFRIGERANTS



# Global Warming Potential



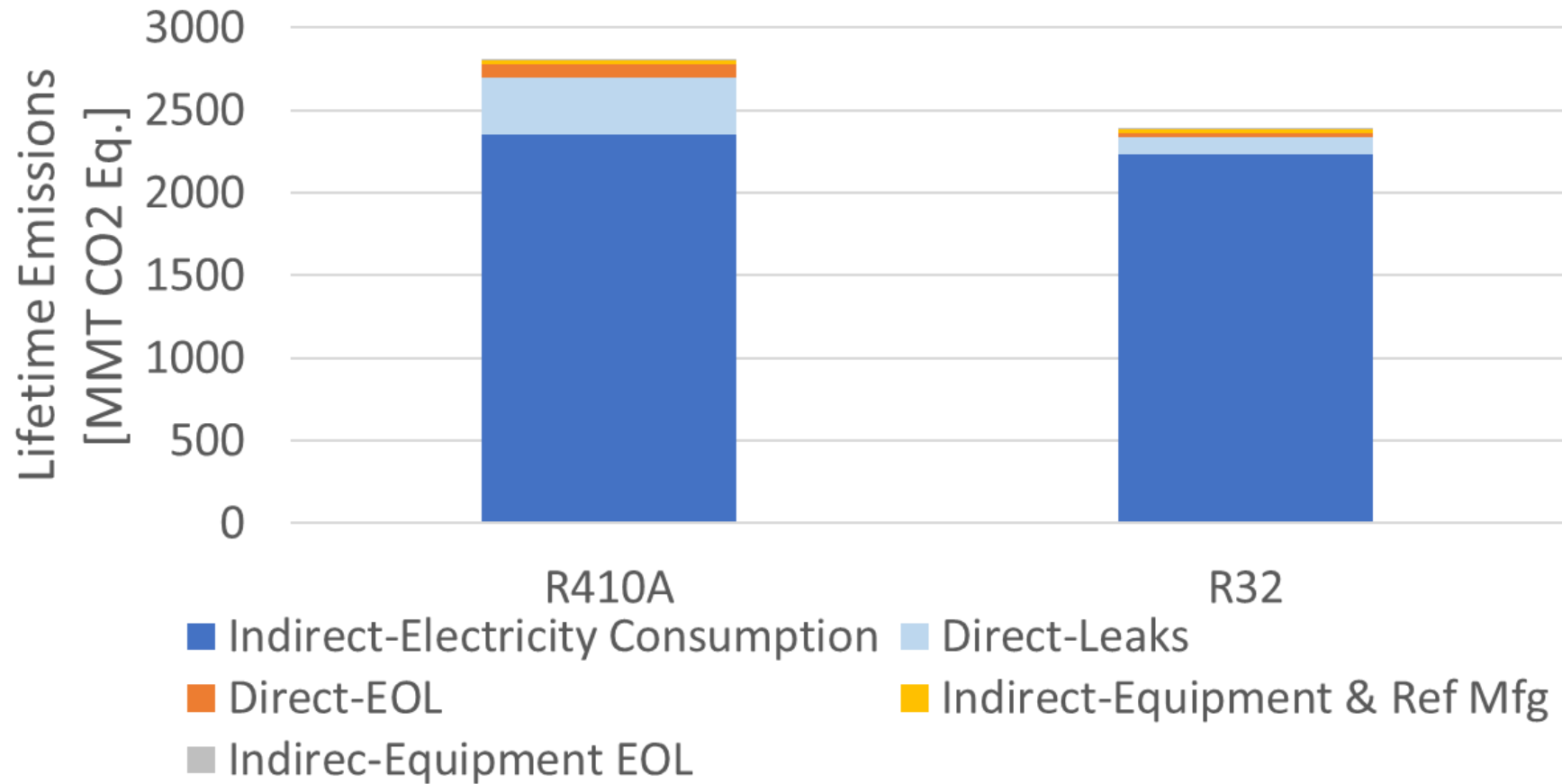
# GWP is Not the Full Measure of Emissions

$$\begin{array}{ccccc} \text{Direct Effect} & & & & \\ \text{from} & & & & \\ \text{Refrigerant} & + & \text{Indirect Effect} & = & \text{Life Cycle} \\ & & \text{from Energy Use} & & \text{Climate} \\ & & & & \text{Performance} \\ & & & & \text{(LCCP)} \end{array}$$

- Majority of climate impact from HVAC is electrical power generation over equipment lifetime
- A lower GWP refrigerant with lower efficiency could actually create more global warming!

Source: Zhang M., et al. 2011. "Life Cycle Climate Performance Model for Residential Heat Pump Systems." AHRTI Report 09003-01

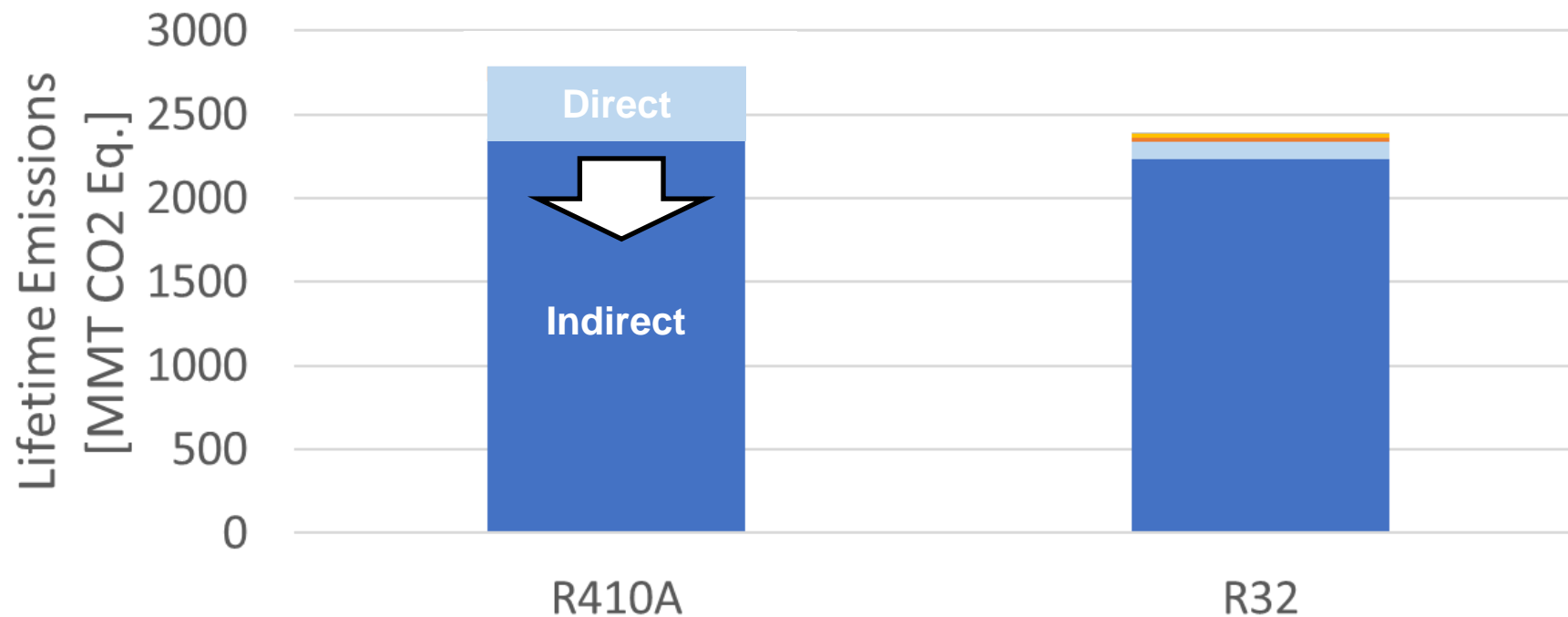
# CO<sub>2</sub> eq. Emissions Mostly from Electricity Consumption



Projected lifetime Residential AC & HP CO<sub>2</sub> Eq. Emissions in USA

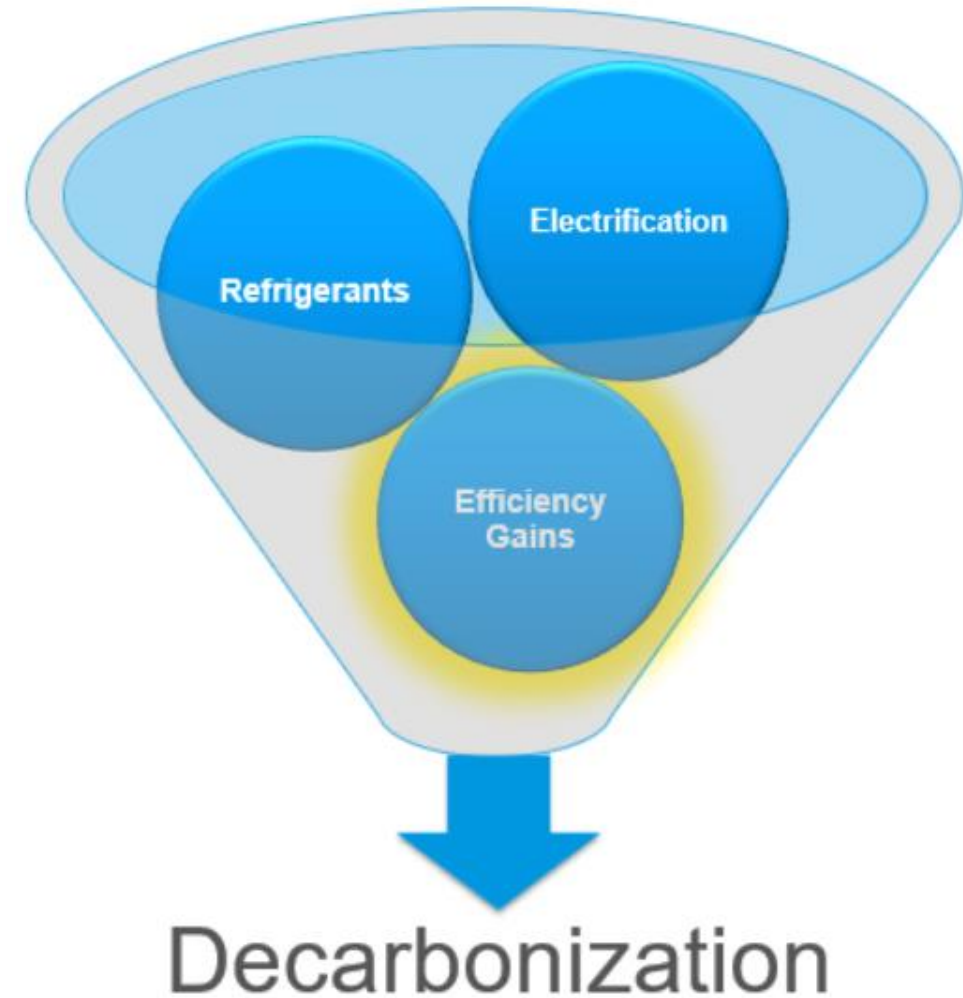


# CO<sub>2</sub> eq. Emissions Mostly from Electricity Consumption

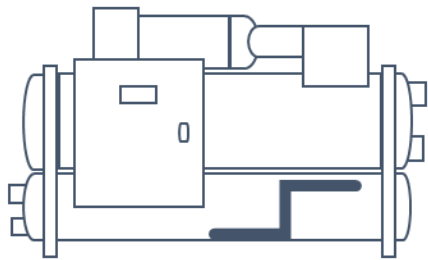


**As Grid becomes more renewable, direct emissions will become a larger percentage of the total, but today are a very small impact compared to equipment efficiency / power use**  
*(animation not to scale)*

# EQUIPMENT & SYSTEM EFFICIENCY



# Addressing Efficiency Needs

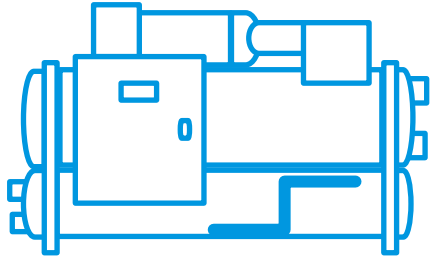


**Efficiency-Enabling Technologies**

**Codes, Standards & Regulations**

**System & Design Changes**

# Addressing Efficiency Needs



**Efficiency-Enabling Technologies**



**Codes, Standards & Regulations**



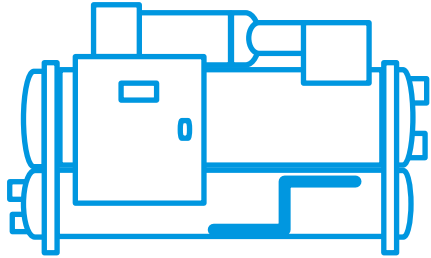
**System & Design Changes**

**Include:**

- ASHRAE 90.1 & IECC
- DOE requirements
- CA Title 24
- Local codes
- ASHRAE 228 – net zero energy buildings
- ASHRAE 240 – quantify building GHG emissions



# Addressing Efficiency Needs



**Efficiency-Enabling Technologies**

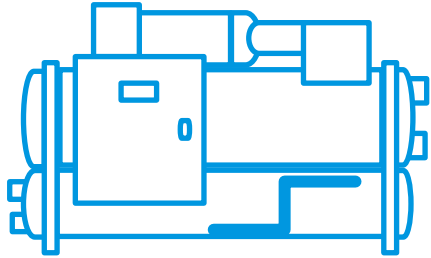
**Codes, Standards & Regulations**

**System & Design Changes**

## **Include:**

- VFD/inverter compressors
- Permanent magnet motors
- Variable volume ratio compression
- Better and larger heat exchangers
- Integrated 'free-cooling'

# Addressing Efficiency Needs



**Efficiency-Enabling Technologies**



**Codes, Standards & Regulations**



**System & Design Changes Include:**

- More efficient designs
- Load reduction (lighting, insulation, ventilation rates)
- Building recommissioning or energy audits
- Systems that share energy within the building or system
  - District systems
  - Heat recovery / Heat Pumps
  - VRF

# REGULATIONS

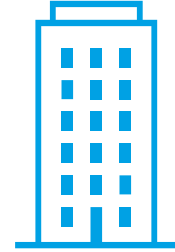
# Regulations and Legislation Summary



**Federal**



**Various Incentives**



**State**



# Regulations and Legislation Summary



## Federal

### Inflation Reduction Act

- Investment in green infrastructure

### AIM Act

- Lower GWP Refrigerants

### CHIPS and Science Act

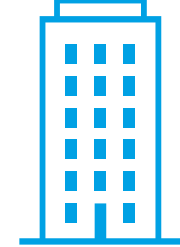
- Invest in MFG for semiconductors and R&D for many items including clean energy

### Biden-Harris Federal Building Performance Standards

- Electrify 30% of spaces by 2030



## Various Incentives



## State

# Regulations and Legislation Summary



**Federal**

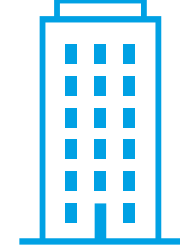


## Various Incentives

**Various financial incentives for homeowners related to efficiency and climate change**

Rebates or credits for:

- Better windows/doors & envelope sealing
- heat pumps
- energy audits
- electric appliances like HP water heaters and clothes driers



**State**

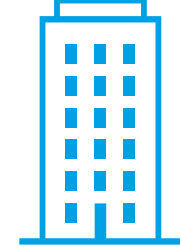
# Regulations and Legislation Summary



## Federal



## Various Incentives



## State

### Building Performance Standards

- NYC, Washington, D.C.; St. Louis, MO; and Washington State

### Pre-wiring for Electrification

- Washington D.C. and Boston

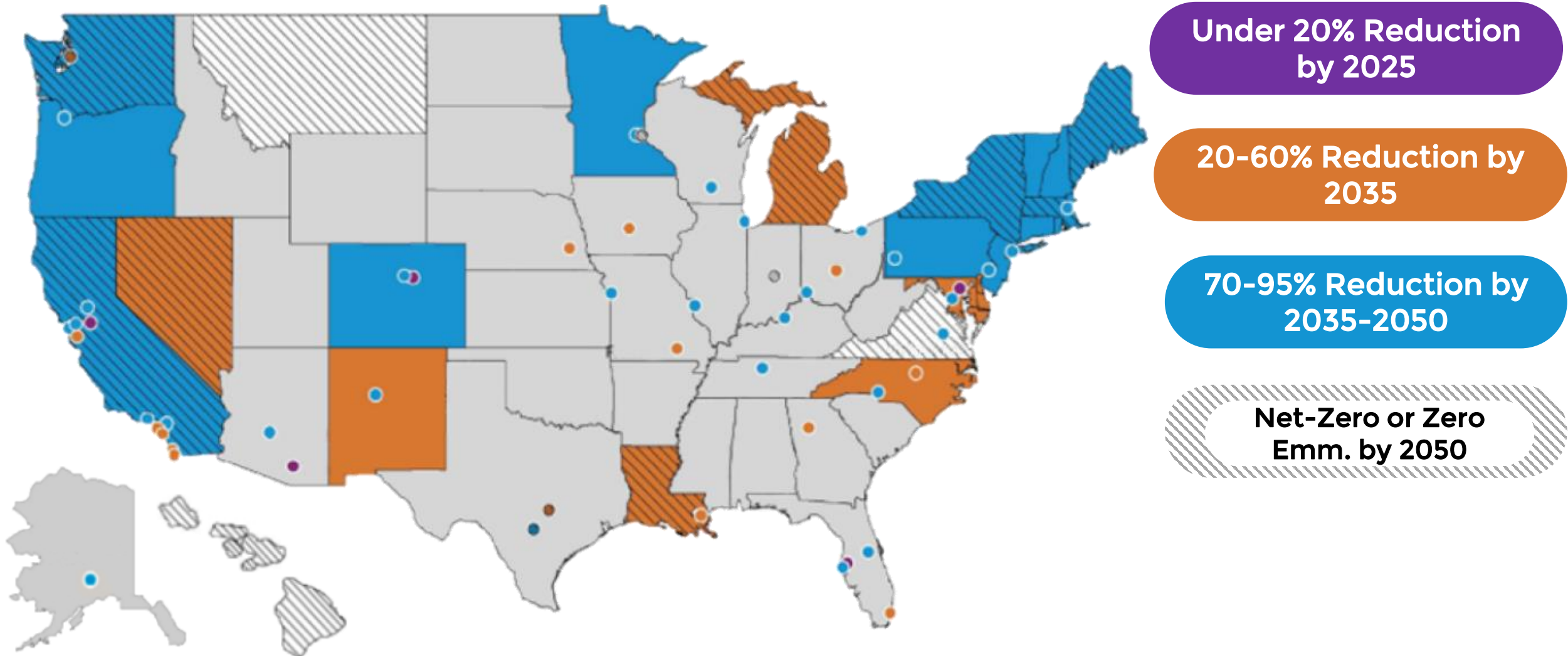
### Net-Zero Designs

- ~11 states with current or future targets
- optimize building efficiency / minimize energy use
- Building to generate as much clean energy as it consumes

### Gas Bans

- Various cities, some legal challenges

## State/Local GHG Reduction Goals



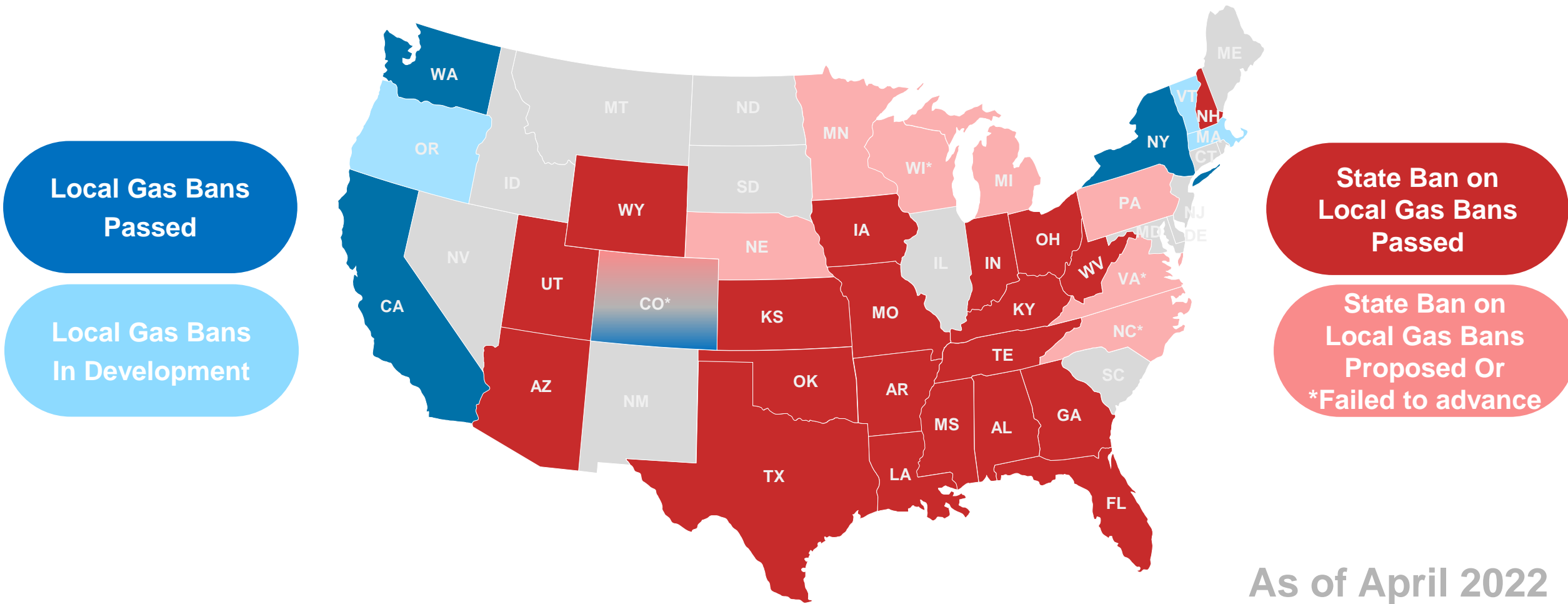
[https://www.epa.gov/sites/default/files/2021-02/documents/benchmarking\\_building\\_performance\\_standards\\_section2.pdf](https://www.epa.gov/sites/default/files/2021-02/documents/benchmarking_building_performance_standards_section2.pdf)

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(as of Jan 2021)



# Gas Bans & Gas Ban Bans

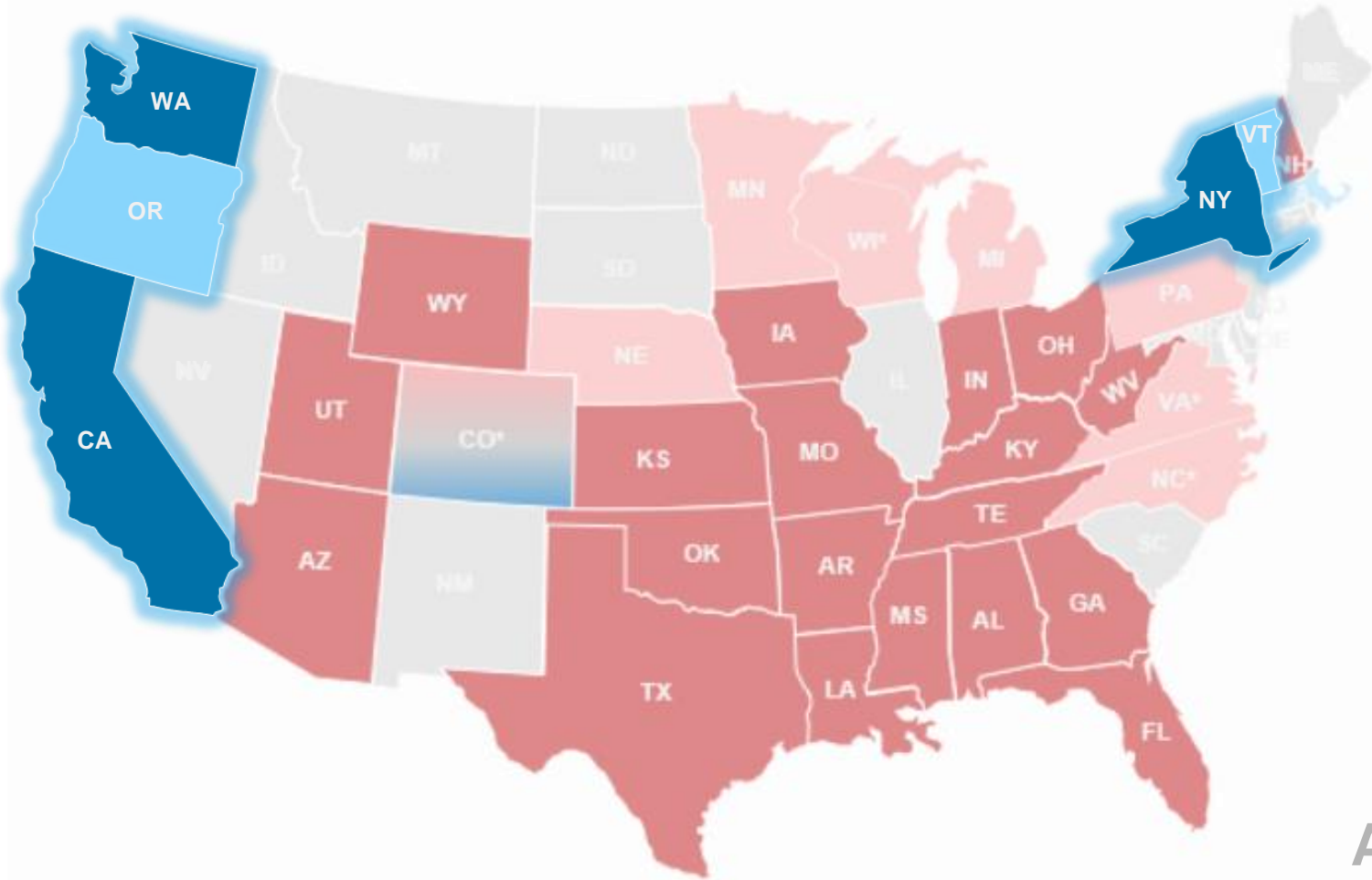


As of April 2022

<https://www.spglobal.com/marketintelligence/en/news-insights/latest-news-headlines/states-that-outlaw-gas-bans-account-for-31-of-us-residential-commercial-gas-use-70749584>

# Gas Bans & Gas Ban Bans

**About 1 out of 5 Americans now live in places with some sort of legislation pushing toward electrification. That consists of about 100 major cities.**



## Local Gas Bans Passed

## Local Gas Bans In Development

# State Ban on Local Gas Bans Passed

**State Ban on  
Local Gas Bans  
Proposed Or  
\*Failed to advance**

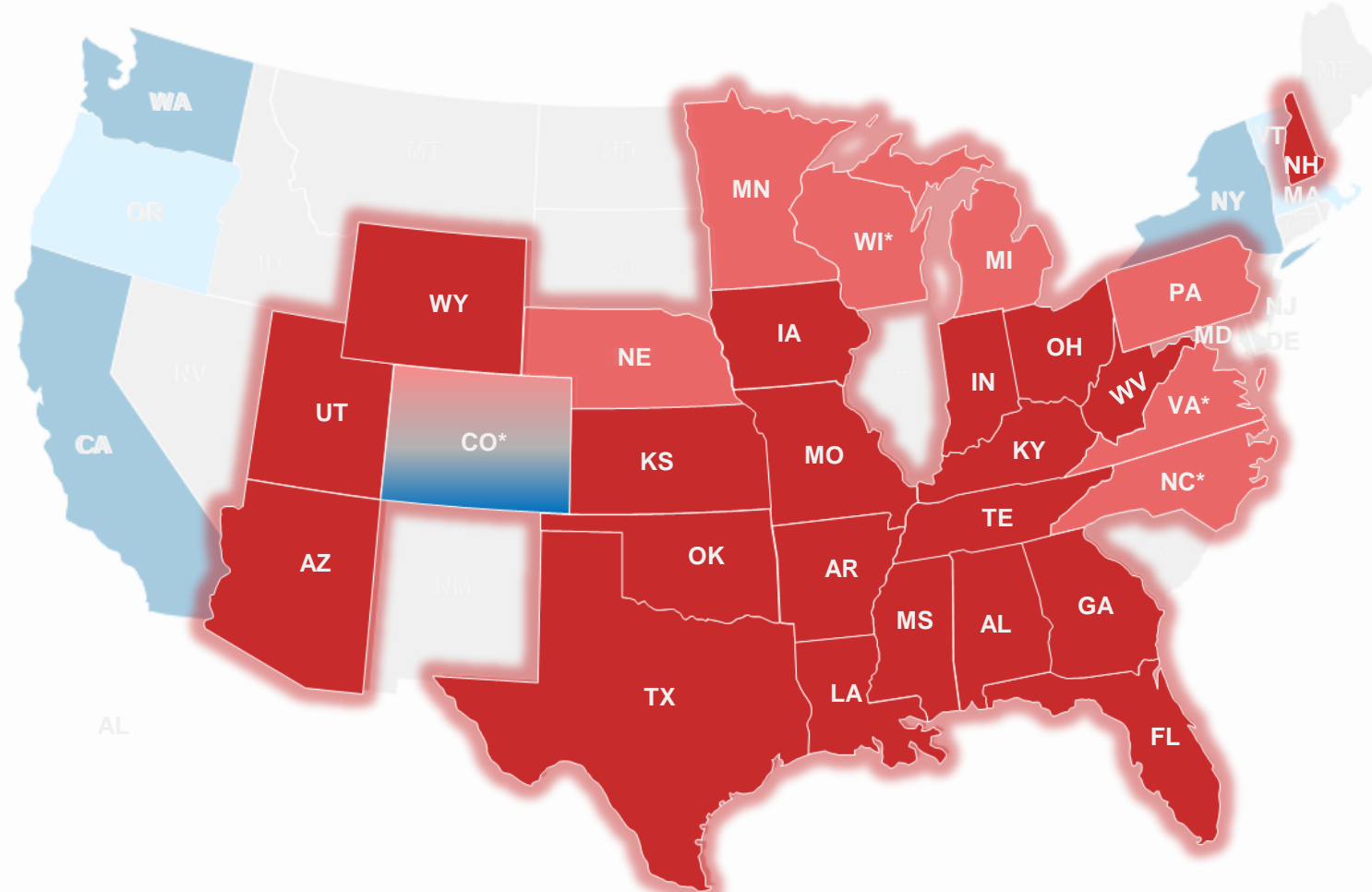
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# Gas Bans & Gas Ban Bans

On the opposite end of the spectrum, about 20 states have passed legislation that local governments cannot ban the use of natural gas at the local level, accounting for roughly 1/3<sup>rd</sup> of natural gas usage.



## Local Gas Bans Passed

## Local Gas Bans In Development

## State Ban on Local Gas Bans Passed

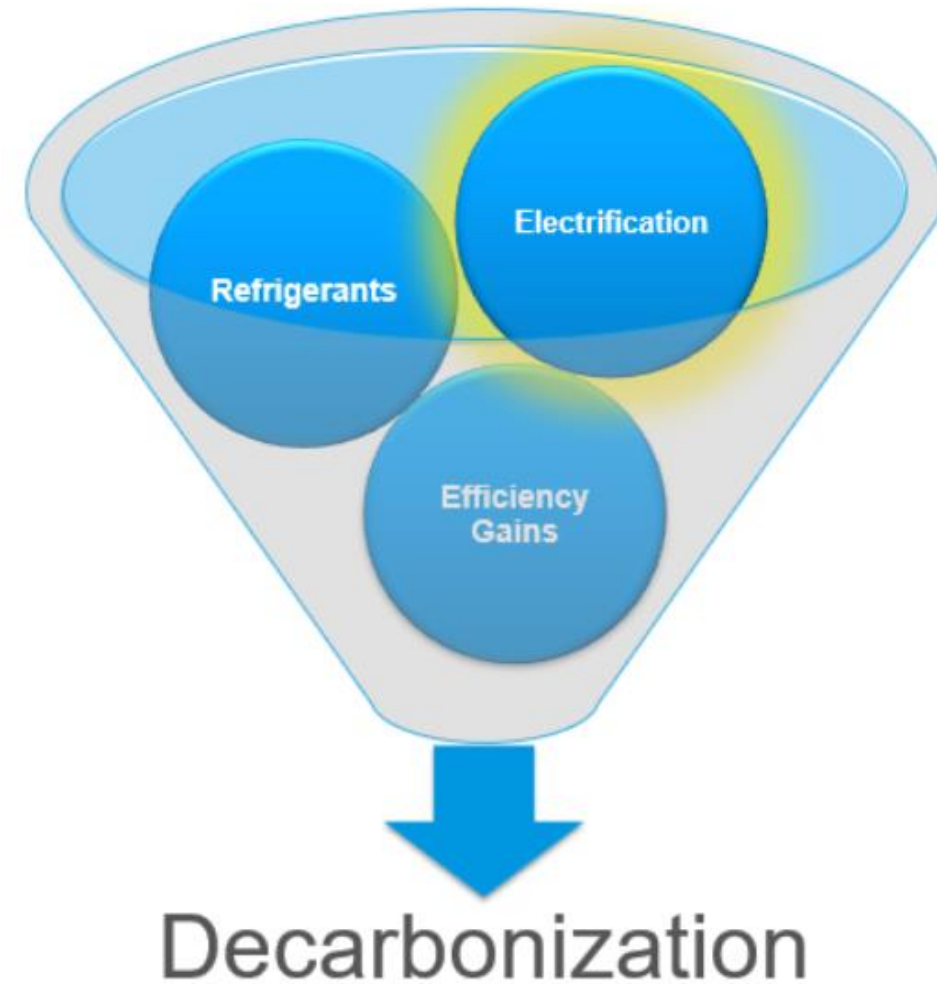
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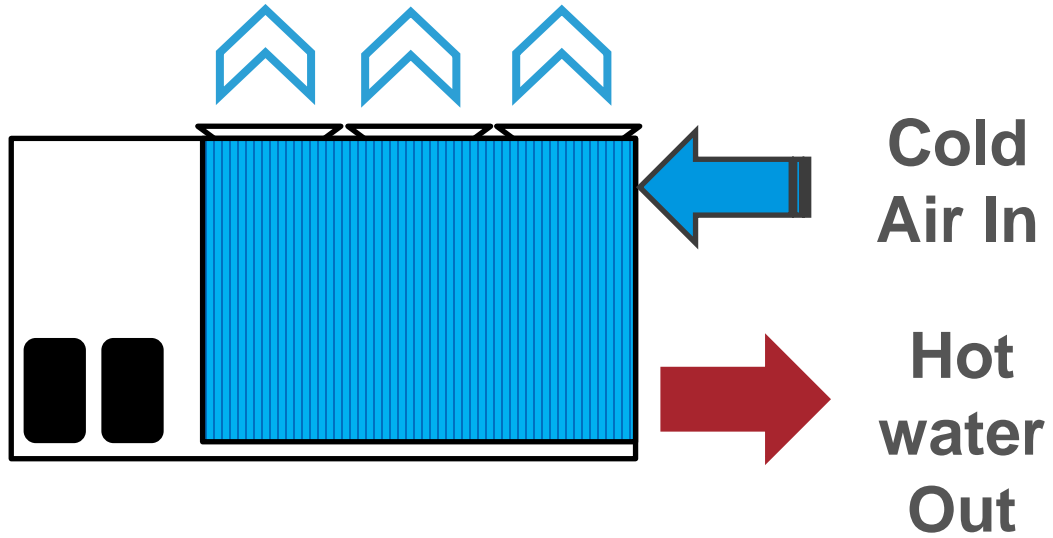
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# ELECTRIFICATION

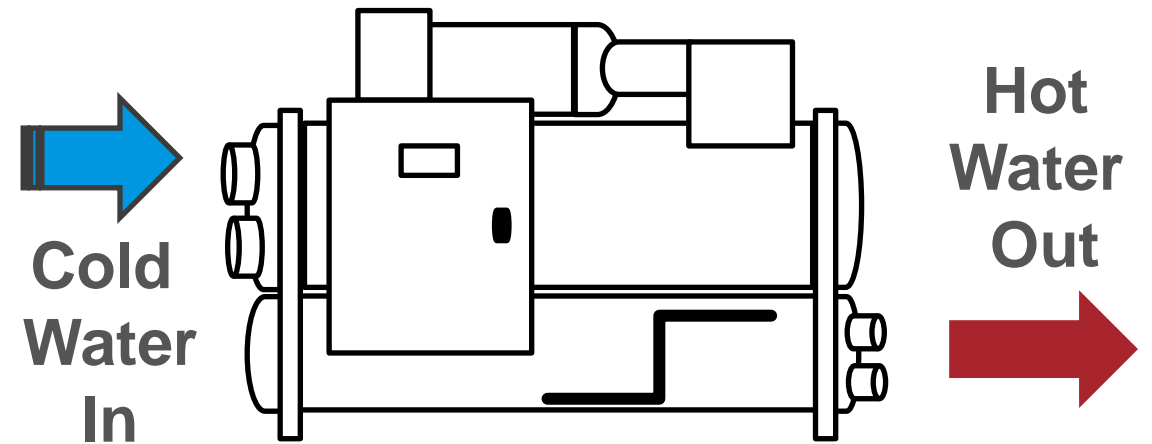


# Types of Heat Pumps

## *Air to Water Heat Pump*

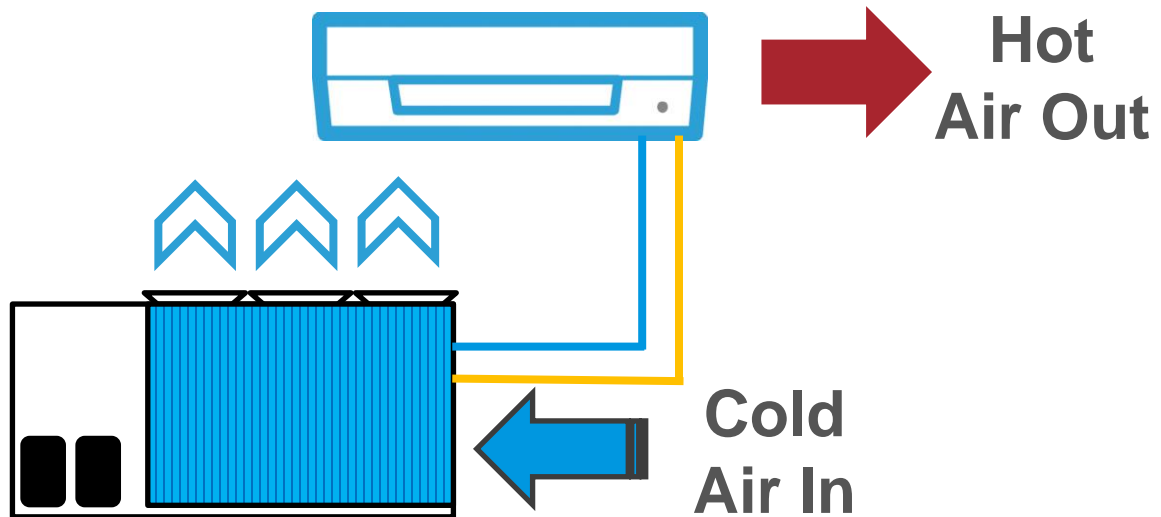


## *Water to Water Heat Pump*

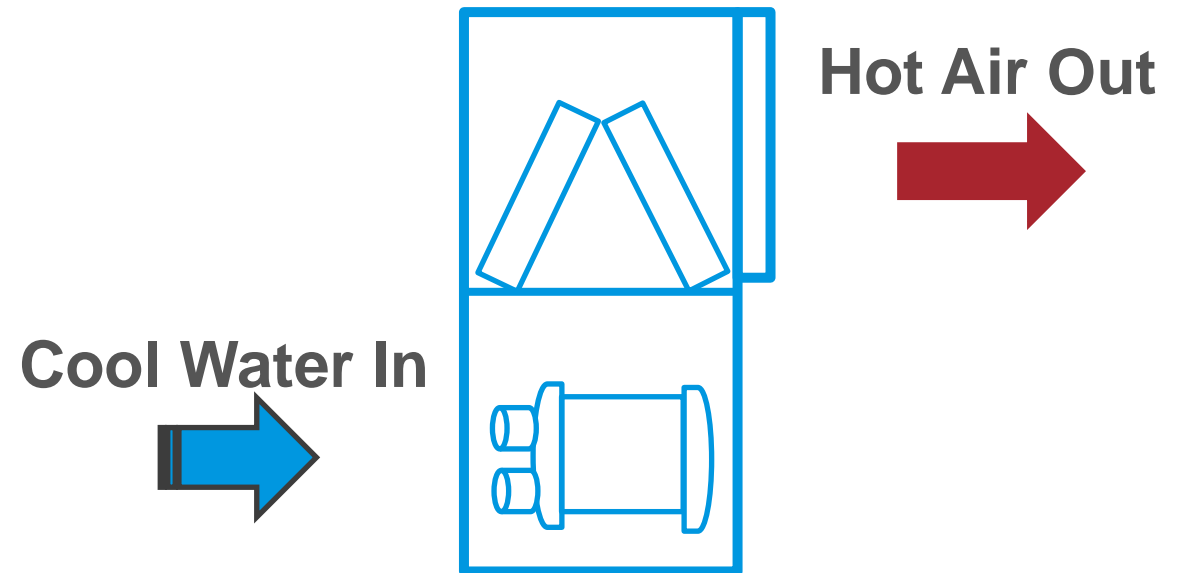


# Heat Pumps Continued...

## *Air to Air* Heat Pump

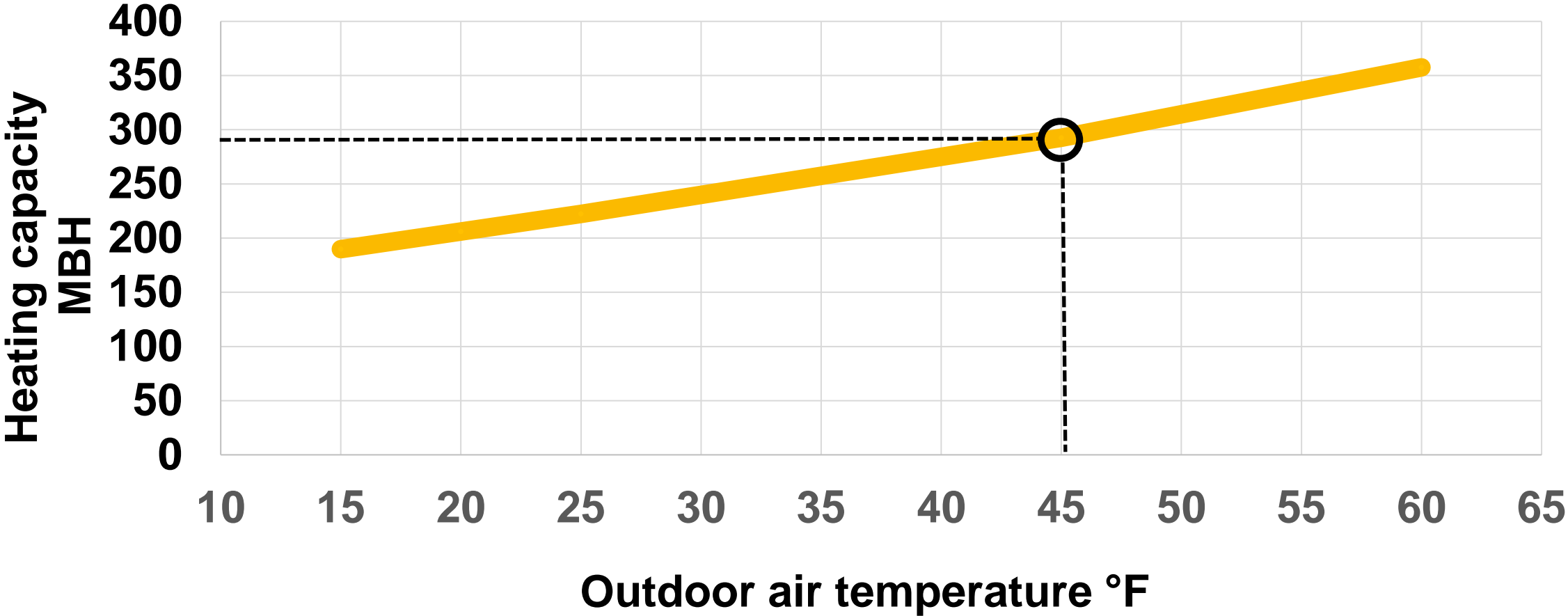


## *Water to Air* Heat Pump

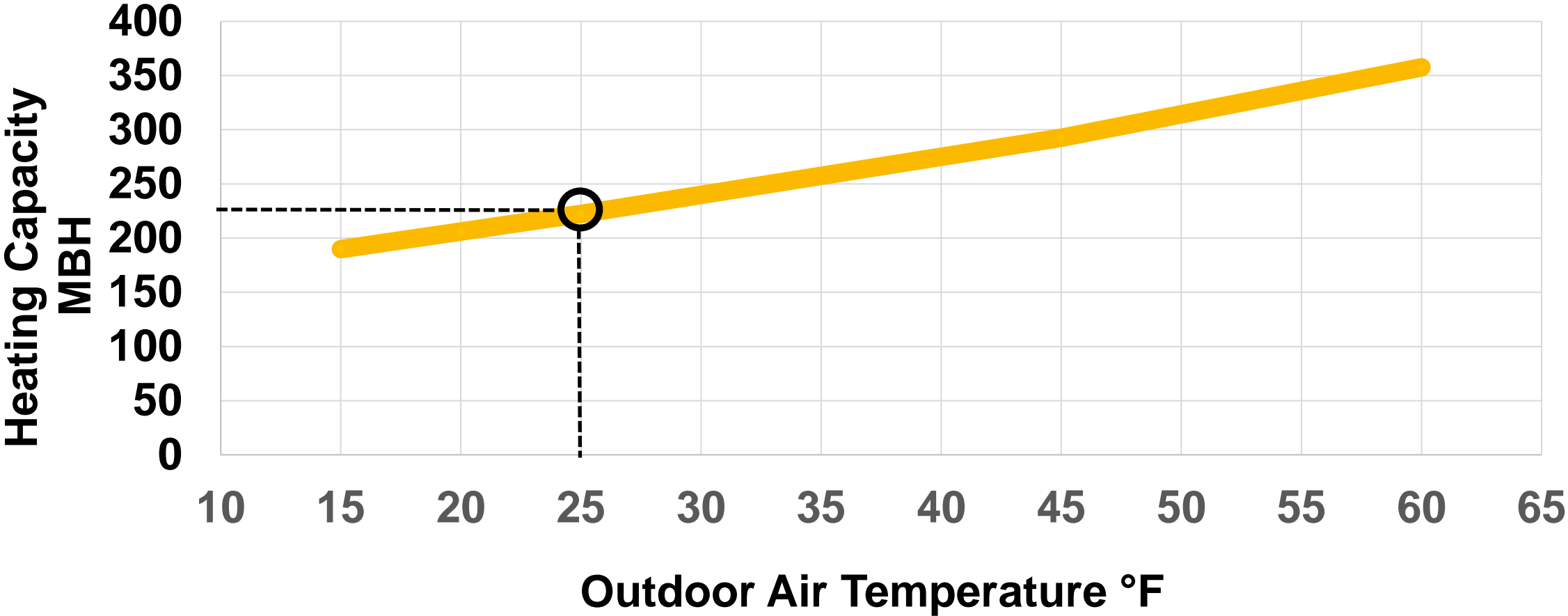




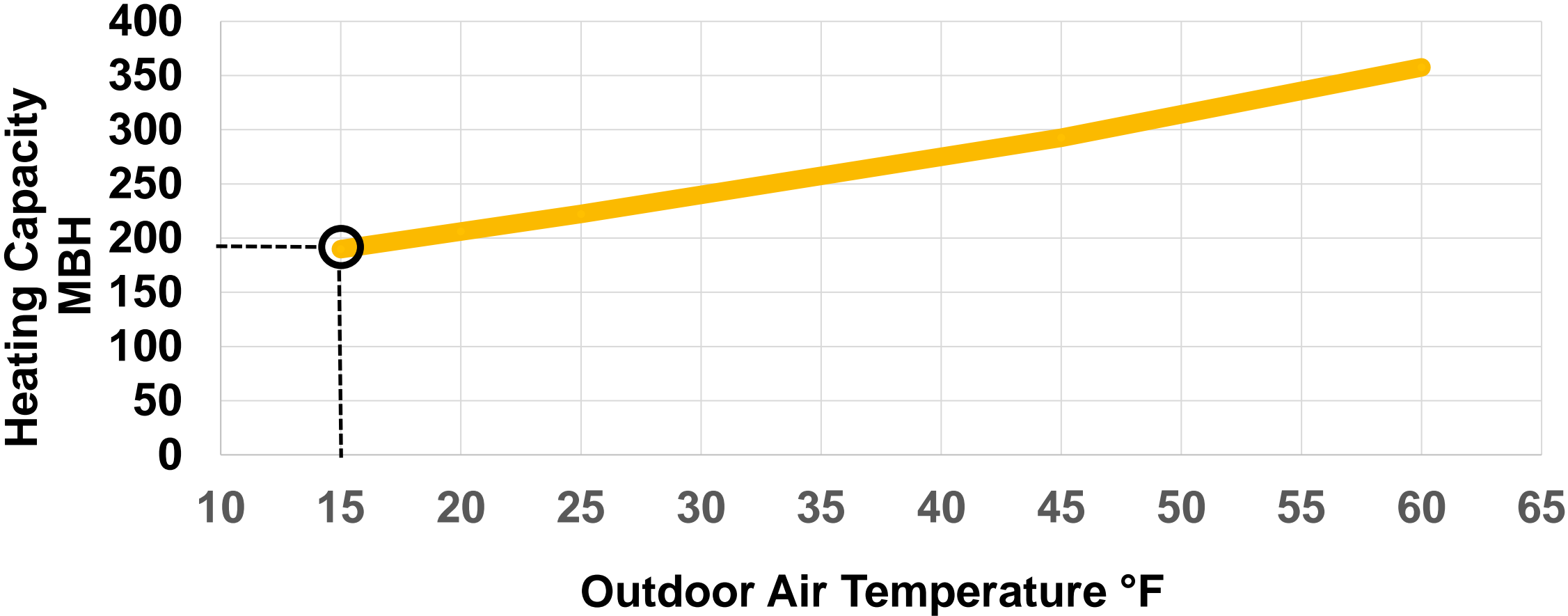
# Air To Water HP Capacity vs Ambient Temperature



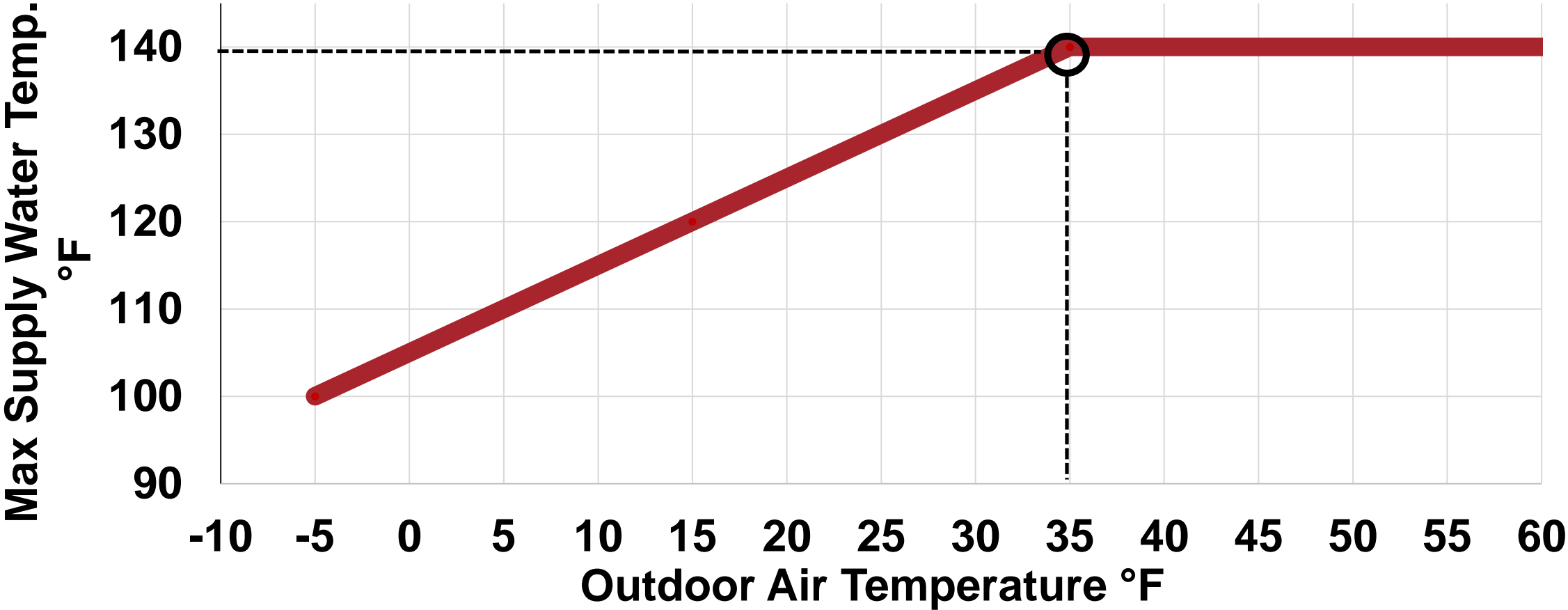
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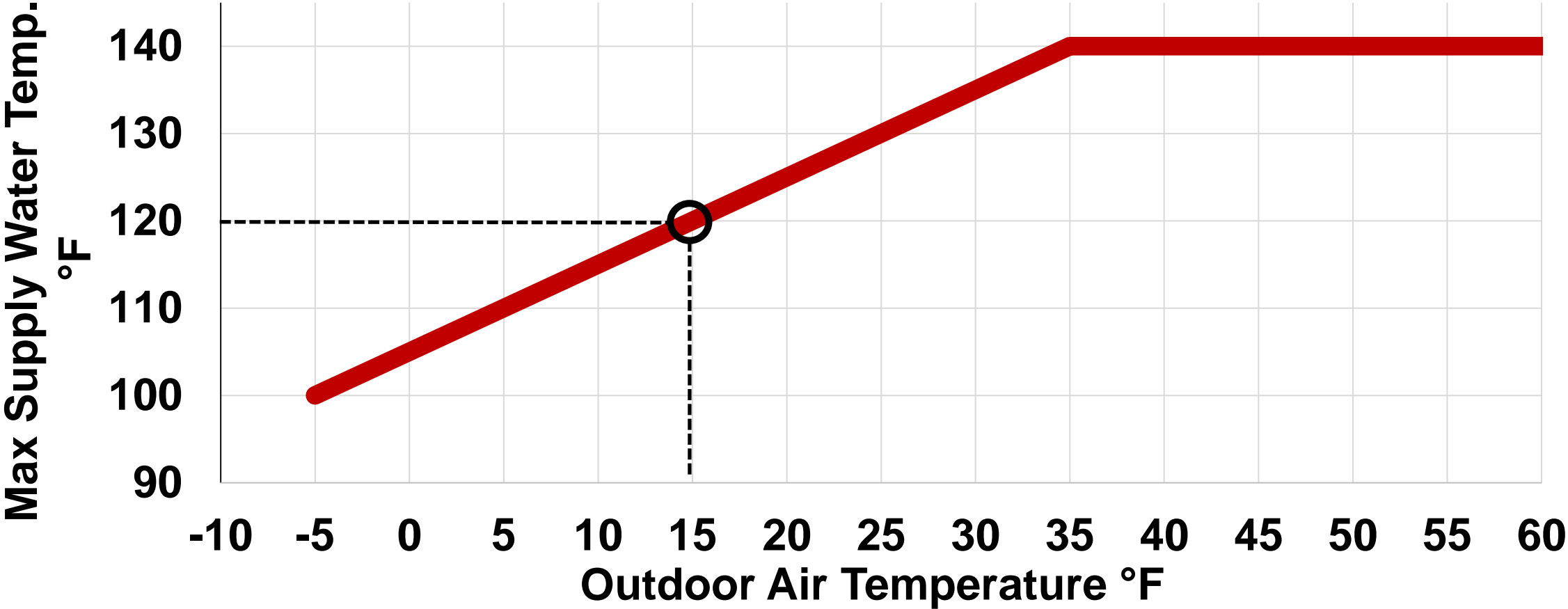
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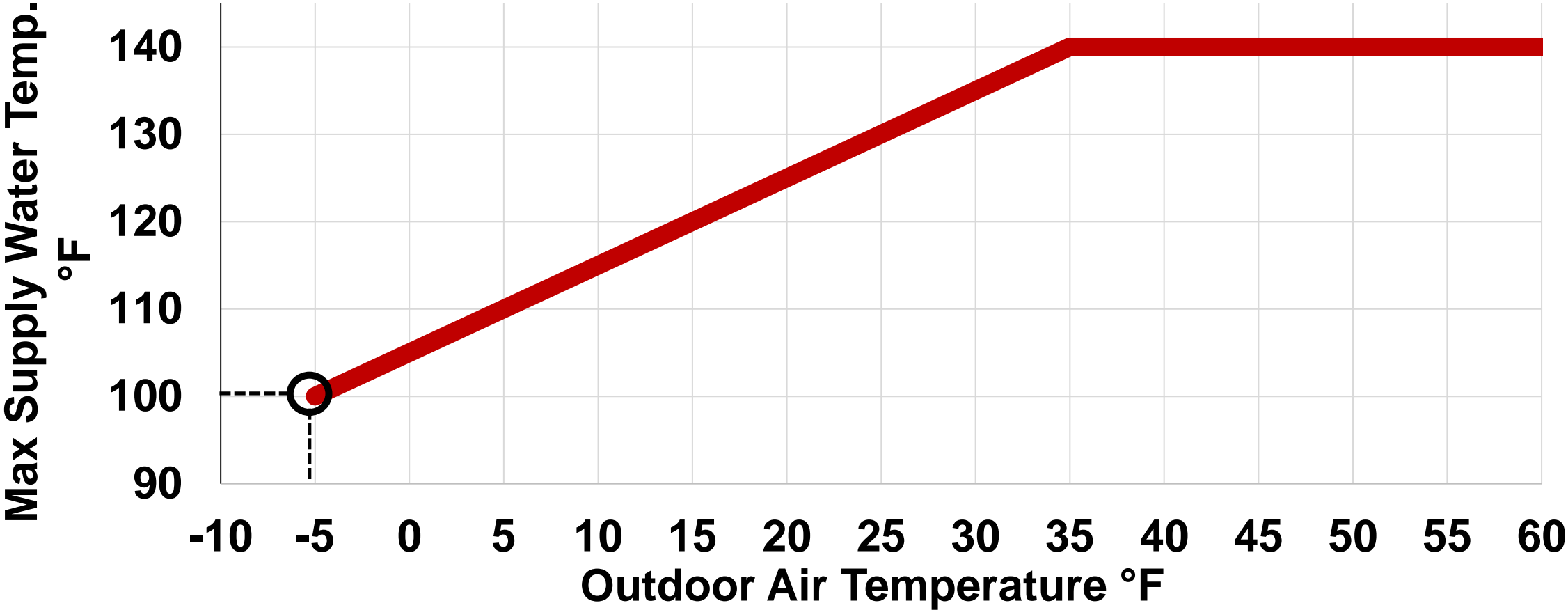
# Supply Temperature vs Ambient Temperature



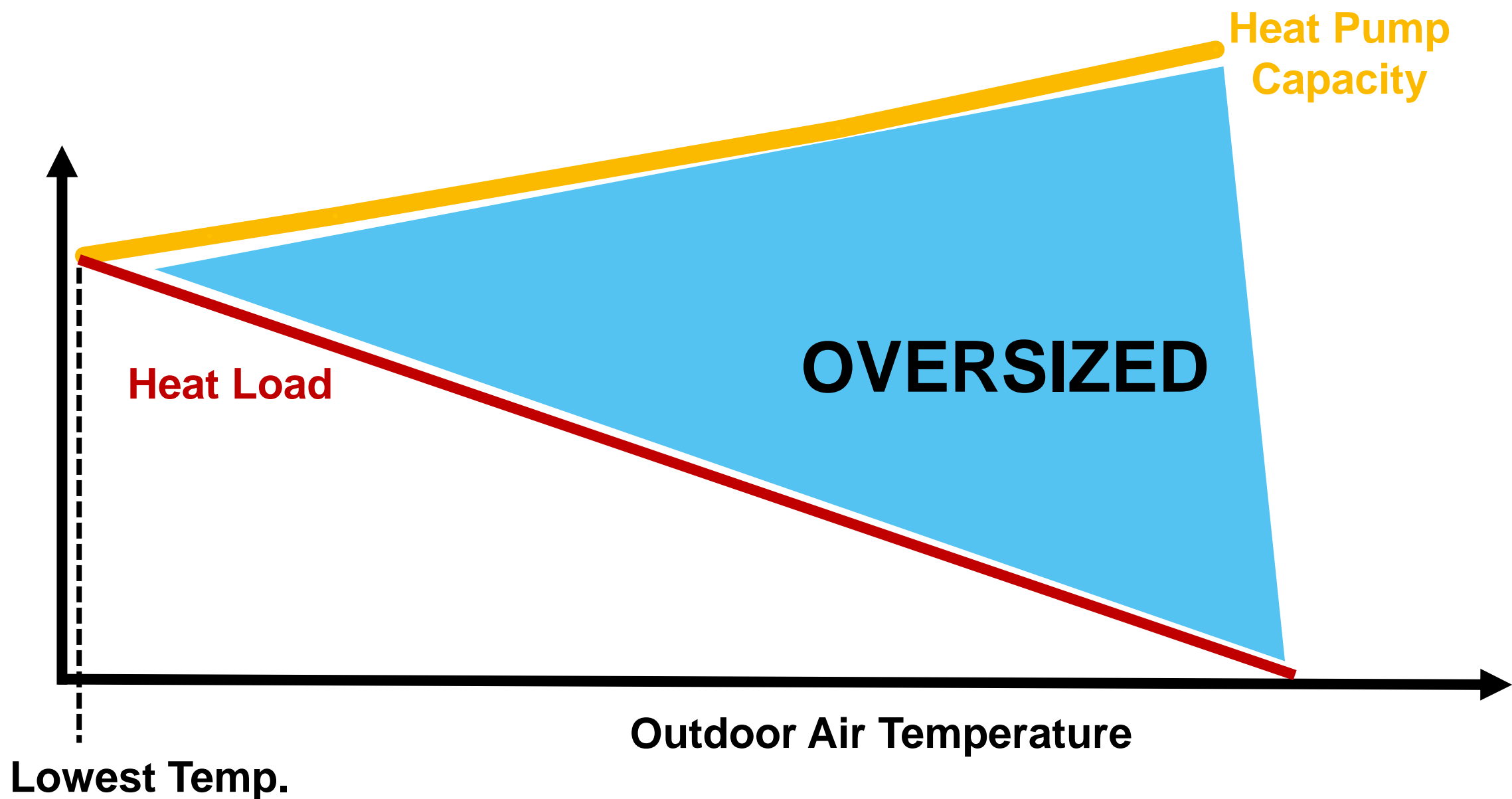
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# Supply Temperature vs Ambient Temperature

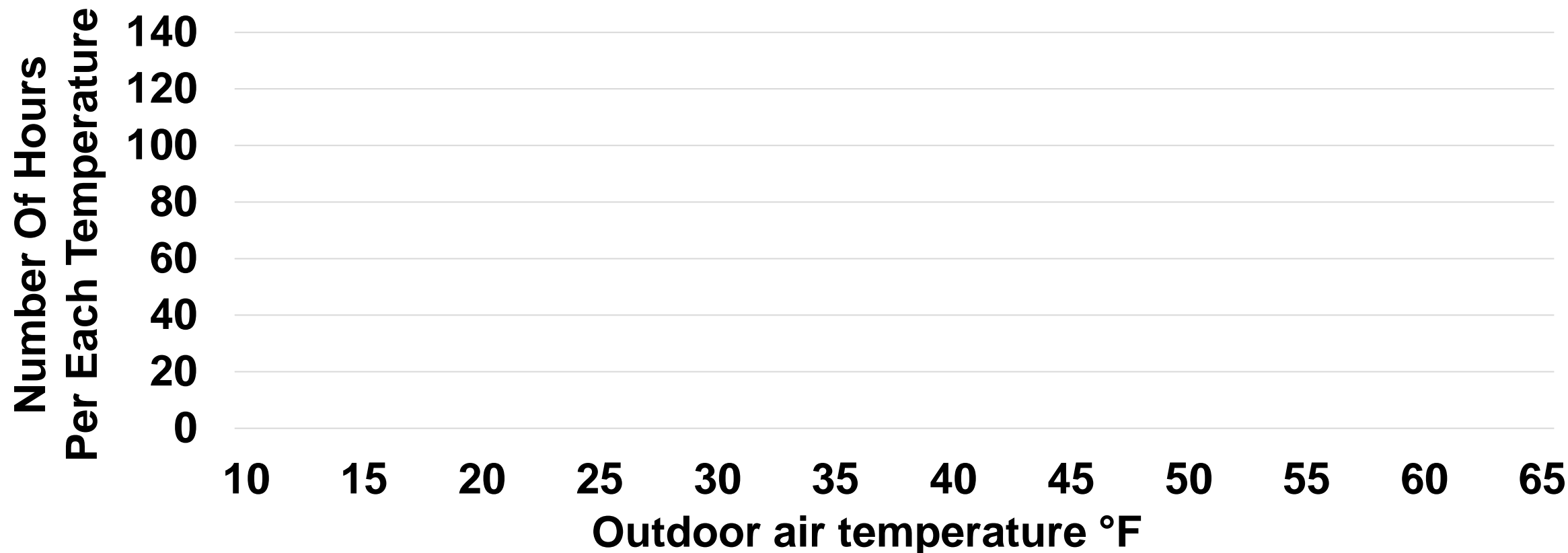


# How To Select



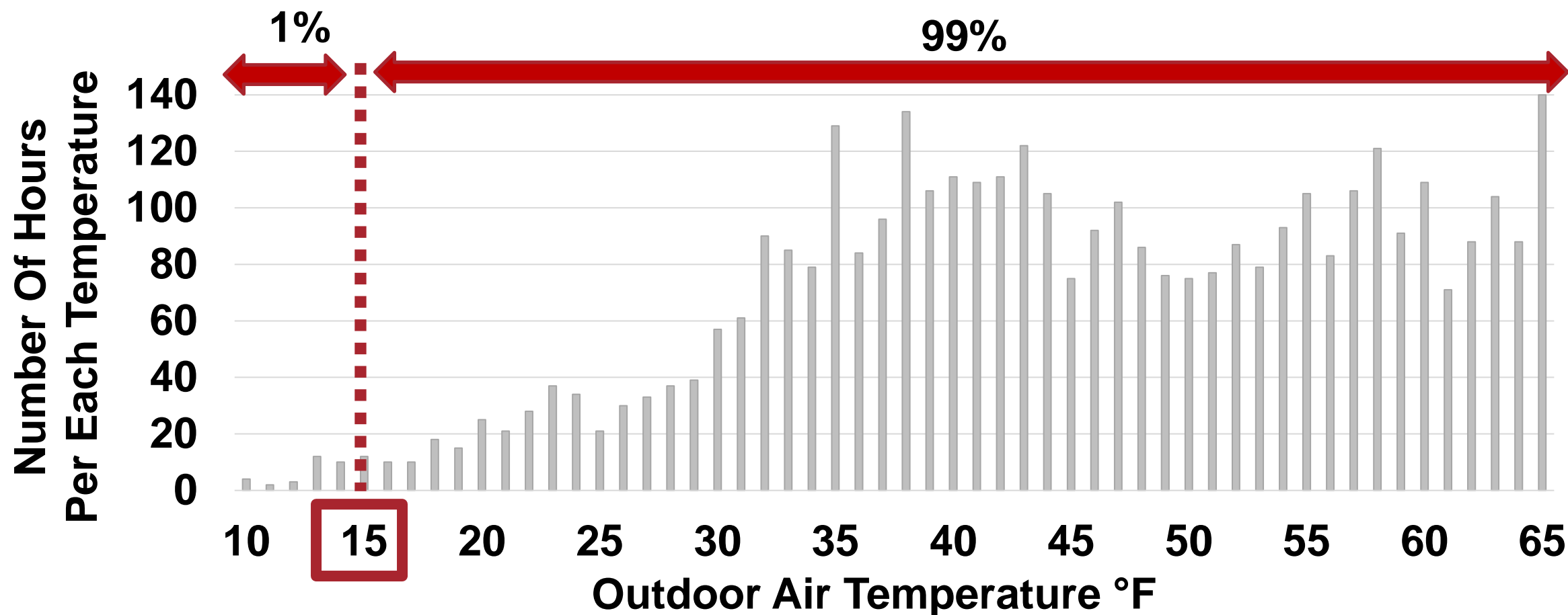


# Design Conditions

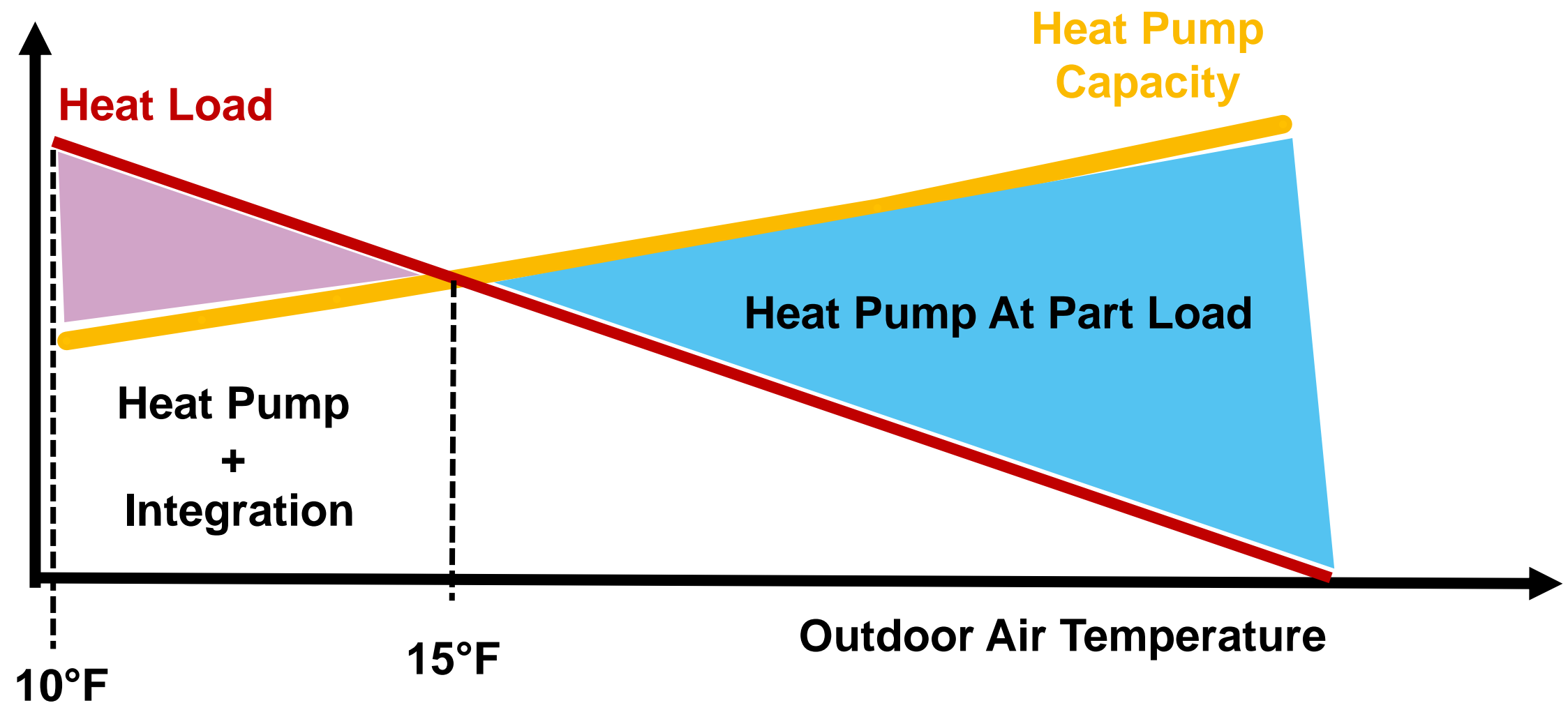


# Design Conditions

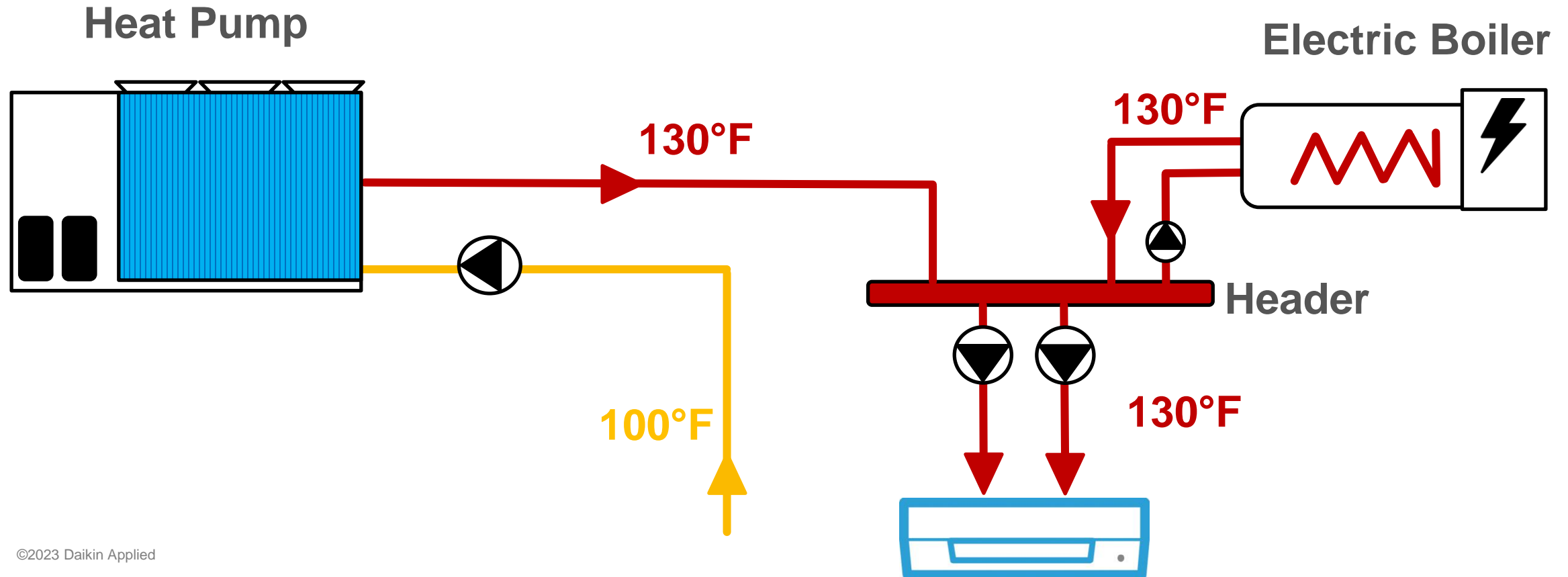
“Don’t let the perfect be the enemy of the good”



# Selecting Heat Pumps



# Heat Pump Plus Boiler Integration



# COLD CLIMATE CONSIDERATIONS

# Lift, Ambient Temp, and Leaving Hot Water Temperature

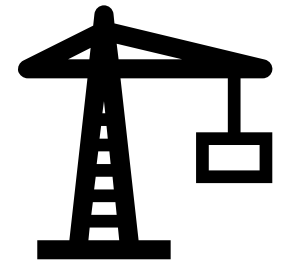
- **Colder climates are a challenge for HPs**
  - Gas density
  - Motor cooling
  - Capacity / efficiency
- **Higher LWT Operation**
  - Reduces HP efficiency
  - Increases lift
- **To operate HPs in cold climates, you need to do any/all of these:**
  - Improve unit operating envelope
  - Boost capacity
  - Lower the hot water temperature
  - Share the lift
  - Reduce the load



Temp



Capacity



Load & Lift

# Why Are Cold Climates Difficult For Heat Pumps?

- **Lower gas density and pressure**
  - Compressors capture and compress a certain volume of refrigerant per revolution
  - Lower refrigerant gas density reduces the mass moved per RPM; therefore, the mass flow rate / capacity is reduced
  - For refrigerant cooled motors, this also reduces motor cooling
- **Refrigerant choice**
  - Refrigerants must be able to have manageable pressures at the extremes of temperature
  - One size won't fit all
  - More than one refrigerant may be needed in a system (cascade system)
- **Increase in lift**
  - Higher lift = lower efficiency; lower capacity
- **Lubrication difficulty**
  - Difficult for standard fan motor bearing grease to work properly at extreme ambient temps





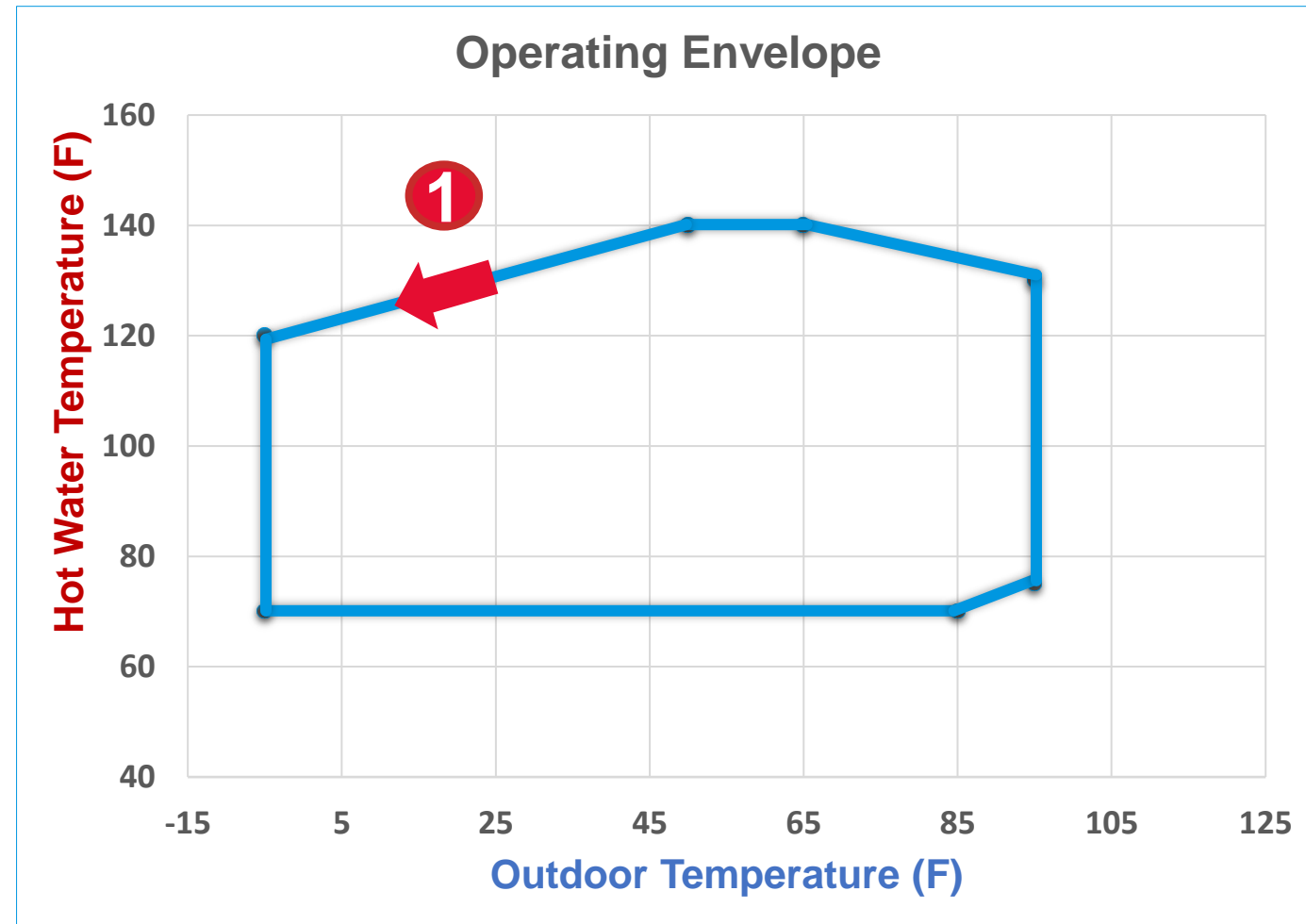
# Why Are Cold Climates Difficult For Heat Pumps?

- **Operating Envelope**

- Function of:
  - Motor cooling
  - Discharge temp
  - Motor HP
  - Other factors
- Each corner of the envelope is limited by a different set of considerations

The hotter the water we need to make, the sooner we reach the limit of minimum ambient temperature

1

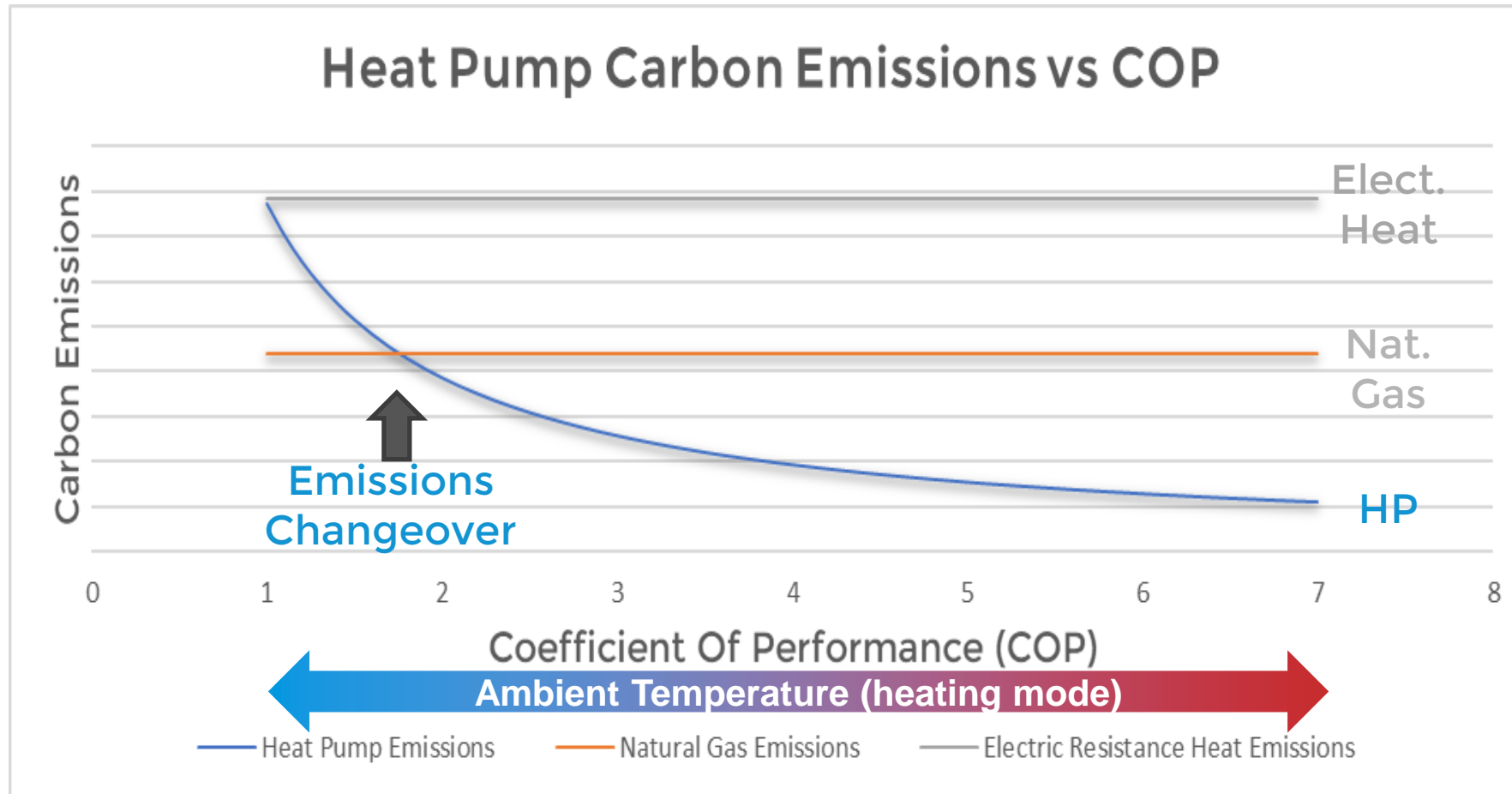


# Why High LWT HPs Aren't The Perfect Answer

- **Historically, high heating water temperature wasn't such a big deal**
  - Limited impact on gas / elec resistance efficiency and reduces coil/pipe size
  - Many systems use 180F or warmer hot water, or even steam
- **Retrofitting these systems with HPs may be more difficult**
  - HPs are lift sensitive; the warmer the hot water, the worse the efficiency and capacity
  - Lower LWT is more efficient, but may require new pipe and heat emitters
  - Simply retrofitting high temp heat pumps may not be the best overall option if environmental concerns are a design goal
- **Not all HP systems are equally difficult to retrofit**
  - Rooftop units may be much easier to convert from gas to HP than high temp hot water systems
  - The main changes on RTUs are larger electrical service and unit size

# HP Emissions vs Gas

Highly grid dependent, results will vary by location



## Assumptions

Natural Gas Efficiency: 85%

Electric Resistance Efficiency: 99%

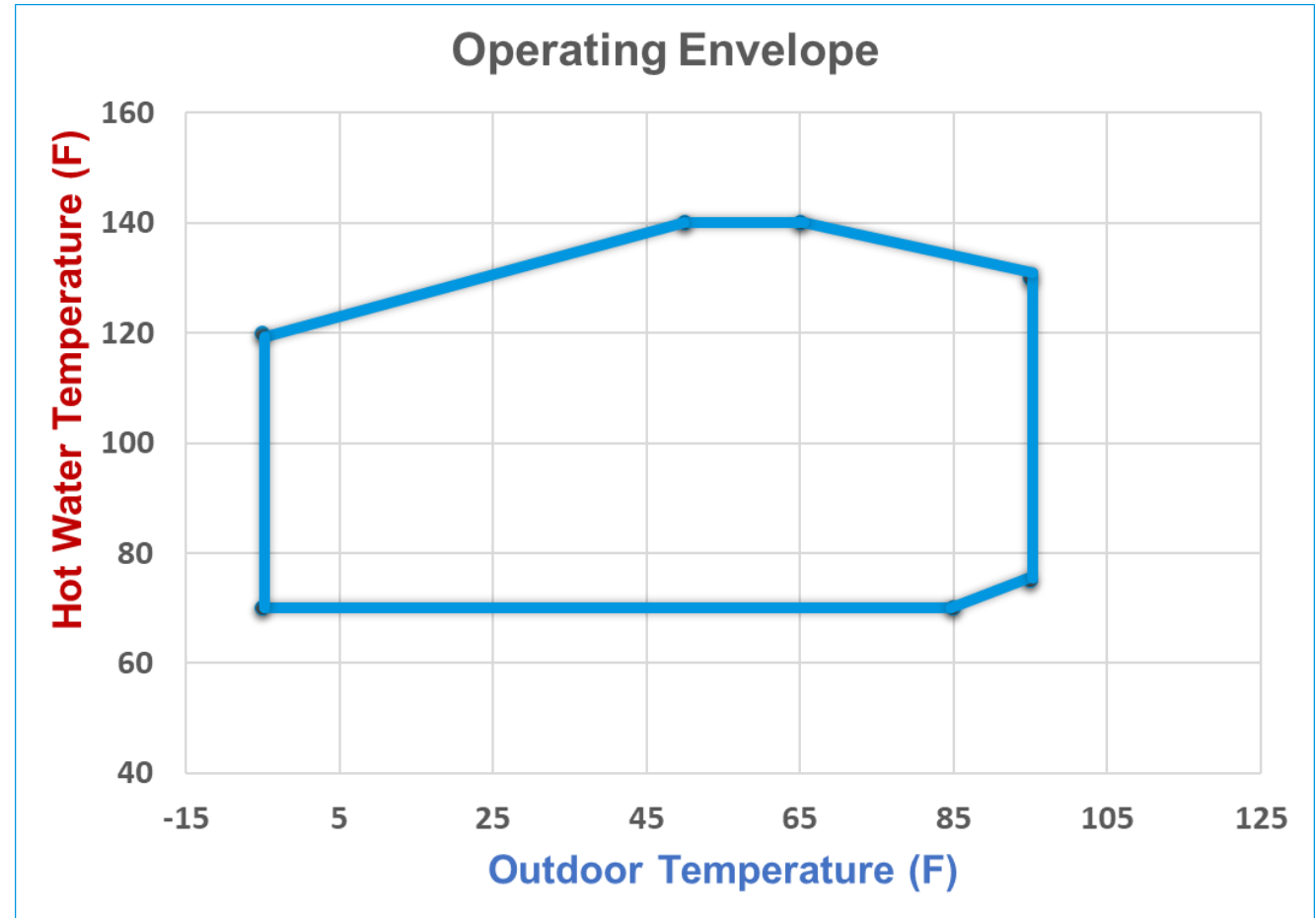
Grid Emissions Factor: 387 (gram/kWh)

Natural Gas Emissions 12.1 (lbs/therm)

# BOOSTING COLD CLIMATE PERFORMANCE

# Improving Operating Envelope

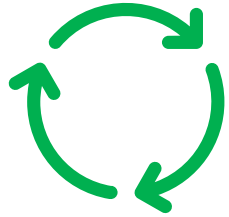
- **Inverters**
  - Boost speed to increase capacity at low temps
  - Boost unit efficiency
- **Compressor design**
  - Motor cooling & HP
  - Discharge temp management
  - Testing and controls
- **Refrigerant choice**
  - Pressure
  - Discharge temperature
- **Vapor injection**
  - Helps increase lift capability
  - Improve capacity



- **Inverters**
  - Overspeed at low temps
  - Reduced speed at normal temps to manage electrical draw / EER
- **Boiler / backup heat integration**
  - Avoid oversizing HPs for infrequent conditions
  - Heat source redundancy
  - Boilers relatively inexpensive, but electrical draw and utility demand charges should be considered for electric backup
  - With current electrical grid, gas emissions may be lower than electric heat
- **System design**
  - Share heat within the building
  - Reduce peak loads

# IMPACT TO HVAC SYSTEMS

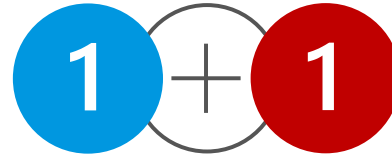
# HVAC Systems – Changes We May See



## System Efficiency & The Need To Share Energy

### Includes:

- Heat recovery
- Hydronic Cascade
- Water-to-water or water-to-air HPs
- District systems
- Energy storage systems
- Ventilation reduction (IAQP)



## Dual-Fuel Integration

### Include:

- Chiller HPs + Gas or Electric Boilers
- Rooftop HPs + Gas or Electric Heat
- Can an entirely electric solution be cost effective?



## Complexity Management

### Include:

- Systems may grow in complexity as we drive to share energy
- Do we:
  - Hire and train more people to manage these complex systems?
  - Try to simplify through controls?
  - Move such systems out of the building to the district level?

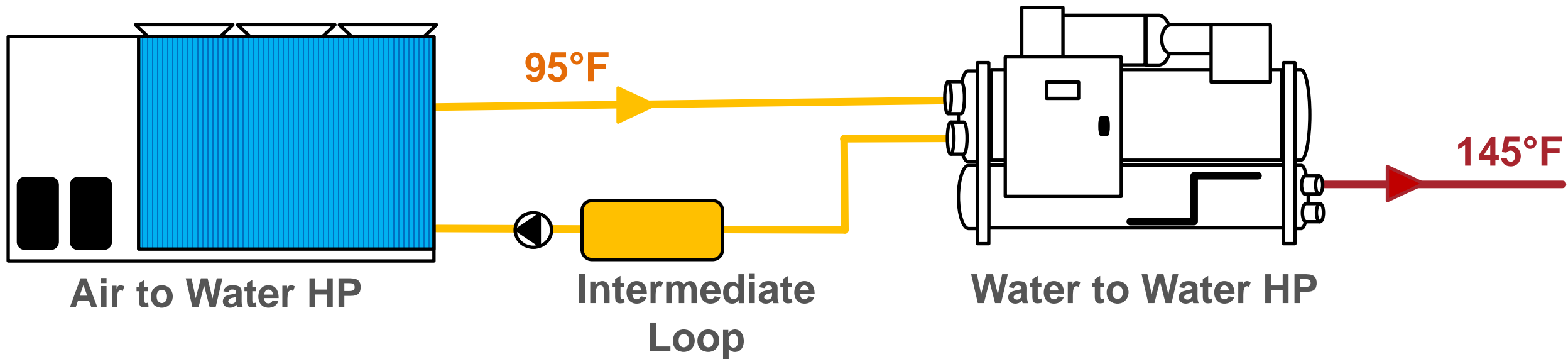


# SHARING ENERGY MORE EFFICIENTLY – CASCADE AND DISTRICT SYSTEMS

# Cascade System

## Sharing the lift

- Improve A2W HP low ambient performance
- Share and store energy in the building for comfort or domestic hot water needs

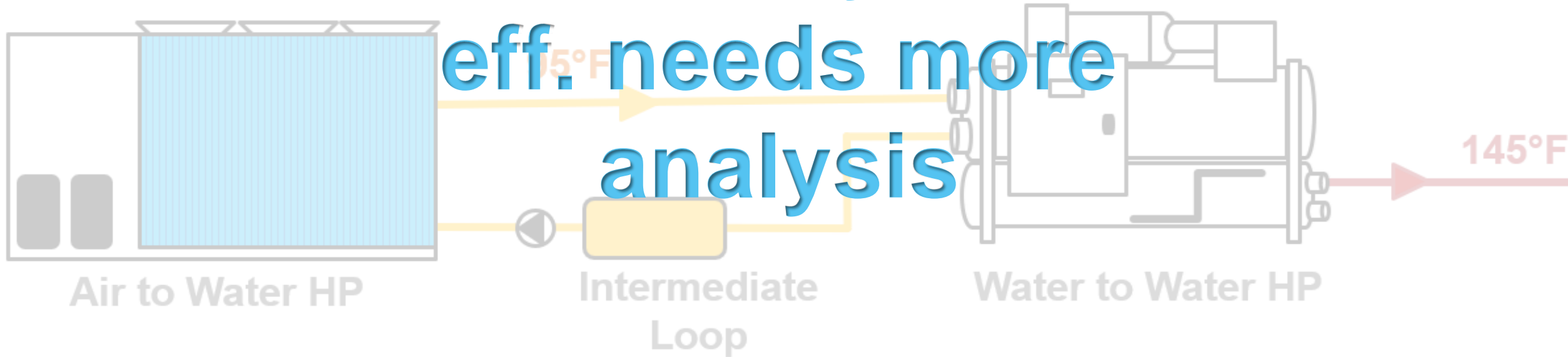


# Cascade System

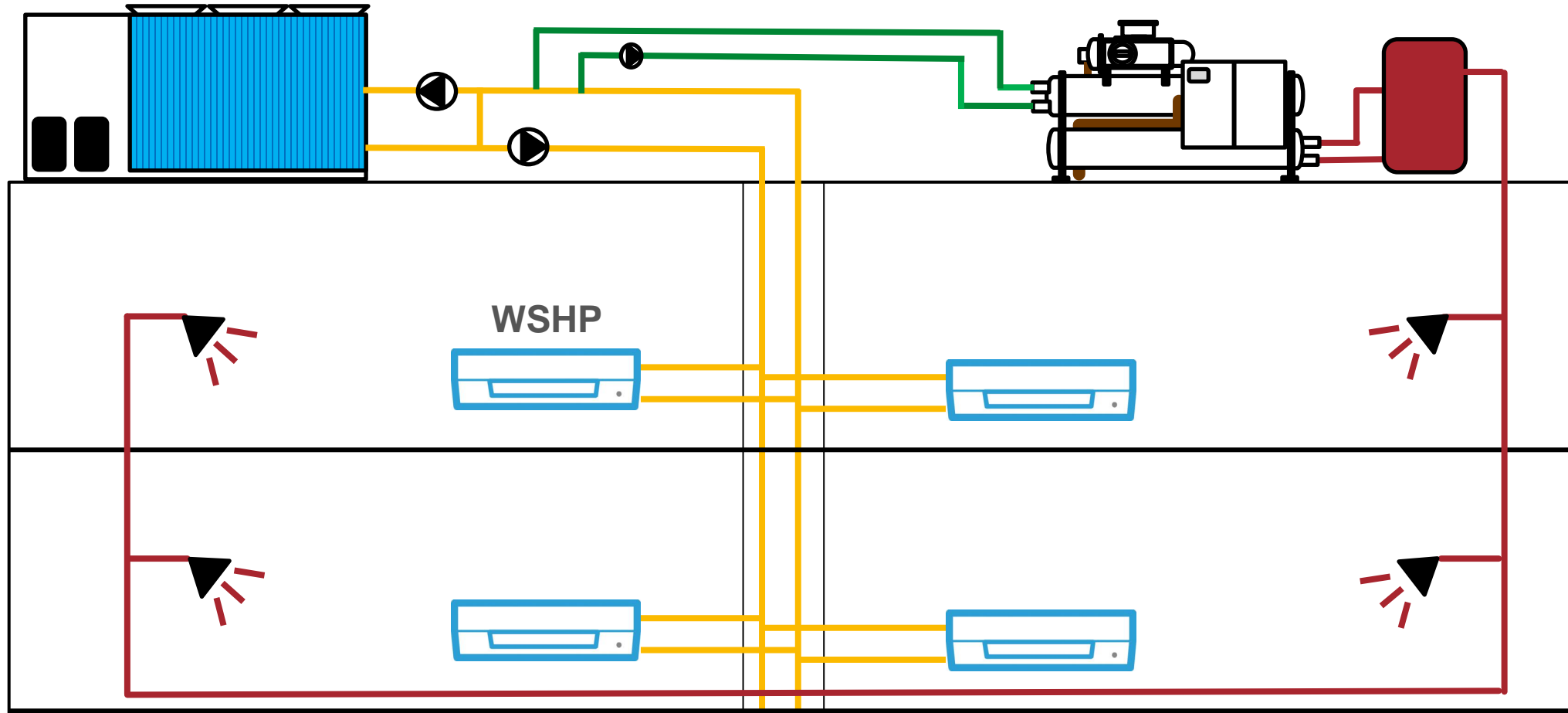
## Sharing the lift

- Improve A2W HP low ambient performance
- Share and store energy in the building for comfort or domestic hot water needs

Overall system  
eff. needs more  
analysis



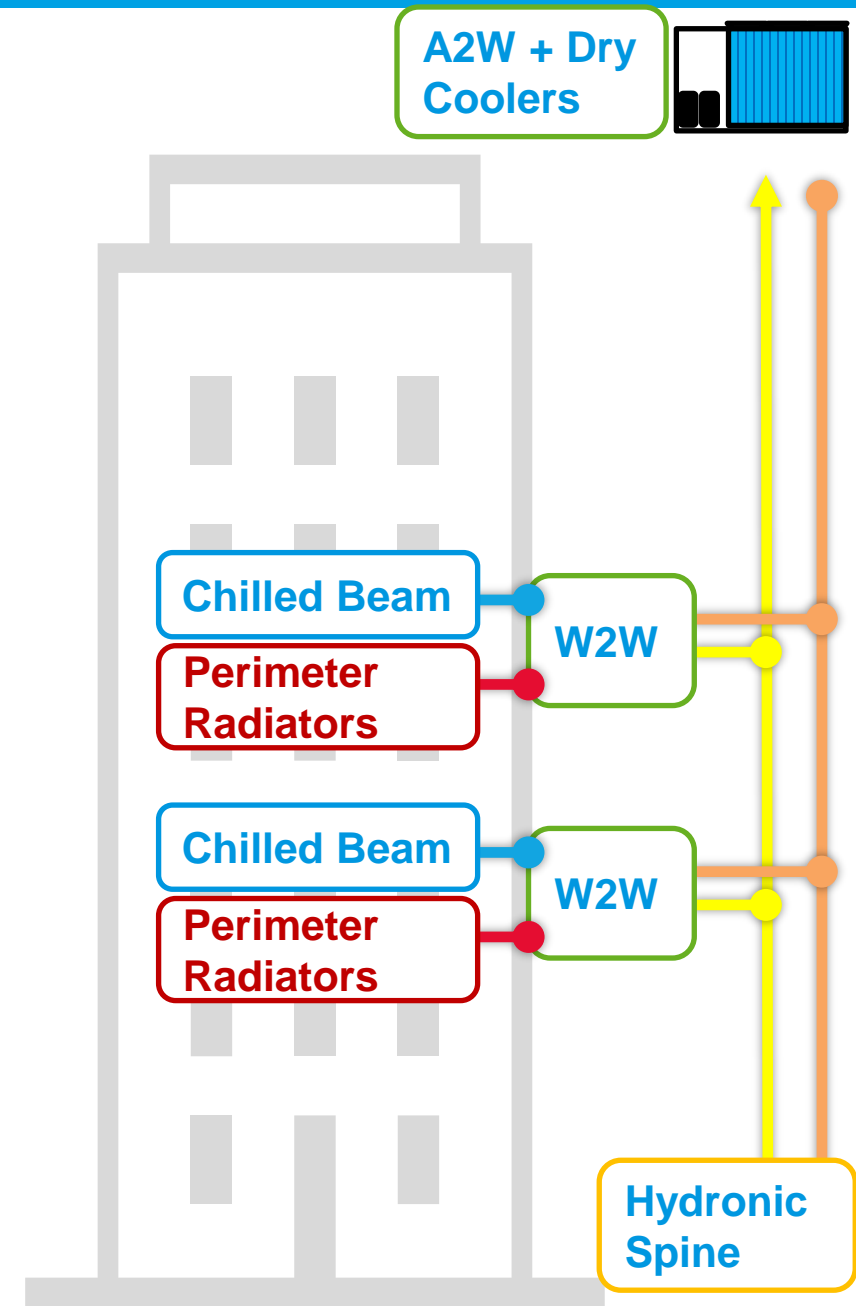
# Full Electric Centralized Solution For Comfort And DHW



# An Example Building - 345 Hudson Building – NYC

- **Deep energy retrofit to address building energy use and emissions**
  - Address building insulation and envelope
  - Cascade HP system
    - A2W HP + Dry Cooler: Serve as heat adder/remover with hydronic spine for entire building – share energy between floors
    - Floor by floor W2W HPs serving perimeter radiators for heating and chilled beams for cooling
    - Separate DOAS system to address latent load
- **Expected Outcomes:**
  - By 2032, 50% energy use reduction
  - 85% carbon emissions reduction
  - Peak heating and cooling loads reduced by 92 and 63%, respectively

Read more here: [https://be-exchange.org/case\\_study/high-rise-low-carbon-partner-profile-345-hudson/](https://be-exchange.org/case_study/high-rise-low-carbon-partner-profile-345-hudson/)



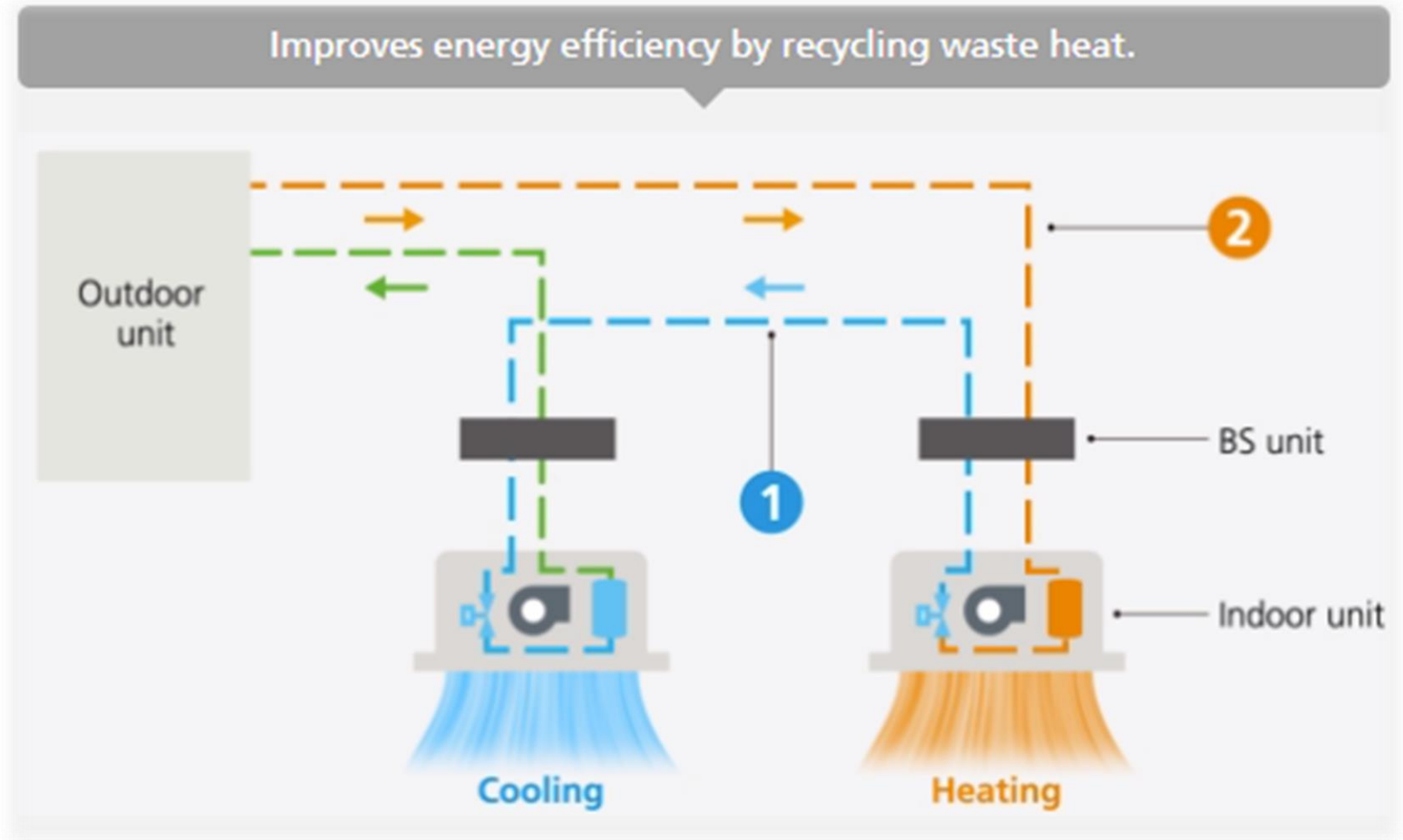
# Geothermal District Heating

- Avoids air source HP challenges
- Sharing heat between buildings
- Could combine with data centers for heating
- DOE Funding:
  - \$300,000–\$13 million
  - projects that help communities design & deploy geothermal district heating/cooling systems



# Heat Recovery VRF

- Allows sharing energy between zones
- Avoids losses of heat transfer between refrigerant and water
- Less feasible to store energy when loads are time shifted



# LOAD REDUCTION

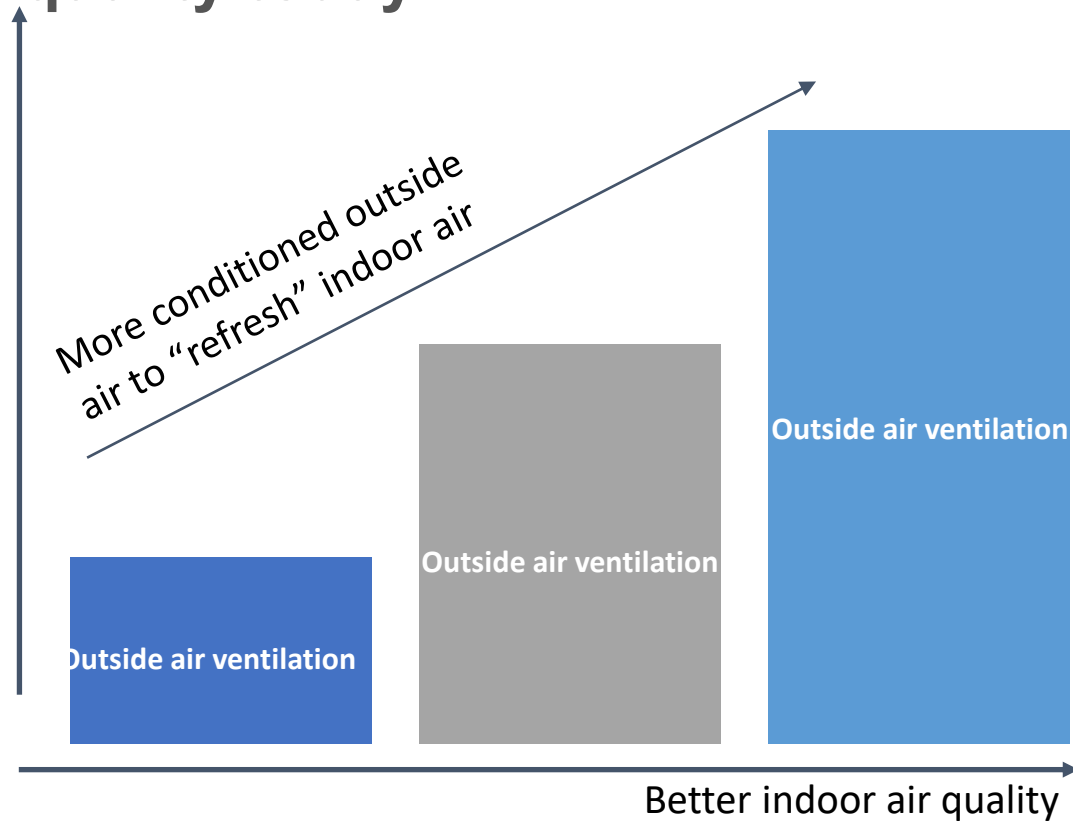


# The Foundation: Building Envelope

- **To reduce HVAC emissions, we need a solid foundation**
  - The most efficient way to heat or cool a building is to not need to heat or cool it
    - Good design
    - Envelope / sealing
    - Efficient windows
- **Controls to operate the building efficiently and effectively**
  - Design vs reality
  - Recommissioning
- **About 30-40% of HVAC load can be for conditioning ventilation air**
  - Addressing ventilation can yield reductions in load, equipment size, and emissions

# IAQP: More Outside Air = More Carbon Emissions and Cost

How buildings ensure good indoor air quality today...



...results in higher emissions & more cost

- Larger and more expensive HVAC systems
- Higher energy use and carbon emissions
- Increased operating costs
- What if the outside air is polluted?

**Relying on only outside air ventilation to deliver good indoor air quality is energy intensive and costly in many climates**

# ASHRAE Allows for Less Outside Air with Air Scrubbing

**ASHRAE Standard 62.1 includes two mechanical ventilation procedures:**

	<b>Ventilation Rate Procedure (VRP)</b>	<b>Indoor Air Quality Procedure (IAQP)</b>
Methodology	Prescriptive: Outside air based on area and occupancy without accounting for air cleaning.	Performance-based: Outside air based on IAQ design targets <u>and source-control and removal measures</u> .
Indoor Air Quality	Depends on quality of outside air and any unusual indoor air contaminants.	Equivalent or better than VRP, especially when outside air is polluted or unusual contaminants.
Energy Intensity	Higher in many climate zones because IAQ is achieved using only outside air.	Often lower because a portion of the outside air may be replaced with cleaned indoor air.

**“The IAQP may allow for a more cost-effective solution to providing good air quality, as all design strategies may be considered and compared...”**  
- *Standard 62.1-2019 User’s Manual, Pg. 100*

# ENABLING TECHNOLOGY

# Technology Enablers

Challenge	Potential Technology/Solution Enablers
HP efficiency/payback	Inverter to improve efficiency
HP low ambient temp	Inverter to overspeed
Peak utility demand	Inverter to limit capacity or inrush, IAQP to reduce design capacity
Boiler substitutes	A2W or W2W HP in cascade or with expanded envelope
Rooftop defrost	Mixed air defrost or defrosting 1 circuit at a time
Energy cost of ventilation	Ventilation reduction with IAQP
System efficiency	Hydronic energy storage + HPs or geothermal district heating
System complexity	Controls solutions to simplify operation of complex, efficient systems, or district level heating/cooling
Footprint of A2W HPs	Geothermal or district systems, energy storage
High temperature boilers	Replace coils with larger to use lower LWT, cascade and electric resistance boost

# Wrapping Up!

- **3 main enablers of Decarbonization in HVAC systems**
- **Legislative drivers**
- **HVAC Systems will need to change**

## To operate HPs in cold climates:

- **Improve unit operating envelope**
  - Compressor design & refrigerant choice
- **Boost capacity**
  - Inverters to overspeed and improve COP
  - vapor injection
  - Reduce the load through envelope and ventilation design (IAQP)
- **Lower the hot water temperature**
- **Share the lift**
  - Cascade systems & backup heat

# THANK YOU!

**For more information, contact:**

**Dan Nish at Gardiner**

**Email: [dnish@whgardiner.com](mailto:dnish@whgardiner.com)**